

Abstract

In this paper we present a method to detect airflow through ice caves and to quantify the corresponding airflow speeds by the use of temperature loggers. The time series of temperature observations at different loggers are cross-correlated. The time shift of best correlation corresponds to the travel time of the air and is used to derive the airflow speed between the loggers. We apply the method to test data observed inside Schellenberger Eishöhle (ice cave). The successful determination of airflow speeds depends on the existence of distinct temperature variations during the time span of interest. Moreover the airflow speed is assumed to be constant during the period used for the correlation analysis. Both requirements limit the applicability of the correlation analysis to determine instantaneous airflow speeds. Nevertheless the method is very helpful to characterize the general patterns of air movement and their slow temporal variations. The correlation analysis assumes a linear dependency between the correlated data. The good correlation we found for our test data confirms this assumption. We therefore in a second step estimate temperature biases and scale factors for the observed temperature variations by a least squares adjustment. The observed phenomena, a warming and a damping of temperature variations depending on the distance the air traveled inside the cave, are explained by a mixing of the inflowing air with the air inside the cave. Furthermore we test the significance of the determined parameters by a standard F test and study the sensitivity of the procedure to common manipulations of the original observations like smoothing. In the end we will give an outlook on possible applications and further development of this method.

1 Introduction

Ice cave research in its historical dimension has a long history in Europe (Grebe, 2010), which dates back to the 16th century. Theories about the origin of the cave ice are equally old, numerous and contradictory depending on the scientific knowledge

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matological factors the airflow regime, which is first of all determined by the thermal relation between the exterior atmosphere and the cave atmosphere, is the factor that influences the cave air temperature and the humidity in the cave the most. Moreover the airflow regime is the most important physical factor to describe the topoclimate of a cave (Racovitza, 1975). For this reason Racovitza proposes to classify the different types of cave topoclimate using the diverse types of airflow regimes (Racovitza, 1975). Luetscher and Jeannin (2004a) propose, for the specific case of ice caves in temperate regions, to classify on the basis of two criteria: cave air dynamics and the type of ice. They explain this by the importance of the airflow regime as the “dominating process at the origin of cave ice” in, e.g. static or dynamic ice caves, just to mention the best known ice cave types. Numerous case studies highlight the role of airflow for the development of ice caves, (e.g. Luetscher and Jeannin, 2004b; Pflitsch et al., 2007; Morad et al., 2010). For these reasons we here present a practical attempt to use the given database which is available for the majority of the ice caves – air temperature measurements – for computing air fluxes. Understanding the airflow regime and the thermal behavior of ice caves, is a fundamental step to understand the specific cave climate and the related processes and dynamics. For this reason we worked out the calcFLOW-method with the major goal to track air movement during the open period of static ice caves by using air temperature measurements. In this paper we present the basic principles and the methodology of the calcFLOW-method and apply it to Schellenberger Eishöhle (Germany). The results will be useful to install a refined network of temperature loggers inside the cave. In the last part of this paper applications of the calcFLOW-method will be presented and discussed. All calculations were conducted by using the GNU Octave open source software¹.

¹<https://www.gnu.org/software/octave/>

2 The model

Two different stages of a static ice cave have to be distinguished. A closed phase, where the air temperature in the cave is below the temperature outside and no interaction by gravitational air mass transport between the inside and outside atmosphere takes place. In this case only gravitational layering of the air is considered, the densest (coldest) air occupying the deepest ranges of the cave. As long as the slow warming of the cave during the closed phase is ignored, the difference in temperature observed by two loggers at different locations in the cave is constant over time and may be described by a simple bias:

$$T_B(t) = T_A(t) + b, \quad (1)$$

T_A and T_B being the temperatures observed at time t by the loggers at locations A and B inside the cave. b is the temperature bias observed between both loggers and in this simple model considered to be constant over time.

We focus on the open phase respectively the so-called “winter situation” (compare Meyer et al., 2014) in Schellenberger Eishöhle. Due to its morphology and the fact that it has only one entrance, the cave acts like a cold air trap depending on the external air temperature. The winter situation is limited to external temperatures below 0°C causing inflow of cold air from outside into the cave driven by gravitational flow.

When outside temperatures drop below the current cave air temperature the specific colder air replaces the warm air inside the cave. The cold air enters the cave along the floor of the cave passages while the warm air is pushed out traveling along the ceiling towards the cave entrance. The temperatures observed close to the cave floor and at the ceiling therefore may differ greatly. For this reason care has to be taken in the selection of the positions for the temperature loggers to capture the airflow of interest.

By mixing of cold and warm air flows and by contact of the inflowing cold air with the cave walls and cave ice the inflowing air will gradually warm and on the other hand the cave is cooled down from the entrance towards its inner reaches. As a consequence the

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gravitational layering of the cave air is disturbed. It is replaced by a positive correlation between air temperature and distance traveled by the air, normally the distance to the entrance. In case of a constantly dropping cave passage (as it is typical for a static ice cave) this may lead to a complete inversion of the temperature gradient. As soon as the outside temperatures rise above the cave temperature and the inflow of cold air stops the gravitational layering of the air is restored.

During the open phase loggers at different locations in the cave will record a completely different scenario than during the closed phase. We expect a temperature bias, but now with inverted sign, the cave being warmer the further inside the logger is placed. We furthermore expect the variations in air temperature that are driven by the weather and the day/night cycle outside the cave to be measurable also inside the cave, but damped, due to mixing of the inflowing air with the more stagnant air inside the cave. Thirdly we assume, that the cold inflowing air needs some time to travel from logger *A* to logger *B*. Our model for the air temperature measurements taken by different loggers during the open phase of a static ice cave includes all three parameters: bias, scale factor (damping of temperature variations), and travel time of the air from logger *A* to logger *B*. The model for the open phase therefore reads:

$$T_B(t) - \bar{T}_B = s \cdot (T_A(t - \Delta t) - \bar{T}_A). \quad (2)$$

T_A , T_B and t are defined as above. The model is augmented by a scale factor s and the travel time Δt of the air moving from logger *A* to logger *B*. \bar{T}_A and \bar{T}_B are the mean temperatures measured by loggers *A* and *B*. The terms $T_B(t) - \bar{T}_B$ and $T_A(t - \Delta t) - \bar{T}_A$ describe the temperature variations around mean recorded by the two loggers, that are damped by factor s at logger *B* due to the mixing of the inflowing air with stagnant air along the way from logger *A* to logger *B*. The bias $b = \bar{T}_B - \bar{T}_A$ is hidden in the difference between the mean temperatures at *A* and *B*.

We express the temperature modeled for logger B as a function of the temperature measured by logger A :

$$T_B(t) = s \cdot (T_A(t - \Delta t) - \bar{T}_A) + b^*, \quad b^* = \bar{T}_B = \bar{T}_A + b. \quad (3)$$

The parameters b^* and s of this simple model may be estimated from the observed temperature data by a standard least squares adjustment process (Koch, 1999). To keep things simple, the single temperature measurements are assumed to be independent from each other and not affected by colored noise (i.e. their errors are assumed to be normally distributed).

To set up the design matrix \mathbf{A} of the adjustment process we have to compute the partial derivatives of the modeled temperatures at logger B with respect to the unknown parameters b^* and s :

$$\mathbf{A} = \begin{pmatrix} \frac{\partial T_B(t_1)}{\partial b^*} & \frac{\partial T_B(t_1)}{\partial s} \\ \vdots & \vdots \\ \frac{\partial T_B(t_n)}{\partial b^*} & \frac{\partial T_B(t_n)}{\partial s} \end{pmatrix}, \quad \frac{\partial T_B}{\partial b^*} = 1, \quad \frac{\partial T_B(t)}{\partial s} = T_A(t - \Delta t) - \bar{T}_A. \quad (4)$$

The optimal solutions \hat{b}^* and \hat{s} of the sought for parameters are found by solving equation

$$\begin{pmatrix} \hat{b}^* \\ \hat{s} \end{pmatrix} = (\mathbf{A}^T \mathbf{P} \mathbf{A})^{-1} \mathbf{A}^T \mathbf{P} \mathbf{T}_B, \quad (5)$$

T_B is the column vector of temperatures measured at logger B . The weight matrix \mathbf{P} is the identity matrix, as long as all temperatures are observed with comparable quality (otherwise it is a diagonal matrix with the diagonal elements equal to the inverse of the square of the assumed a priori errors). With the estimated parameters \hat{b}^* and \hat{s} the disclosure between observed and modeled temperatures at logger B , determined by the sum of squares of the residuals, is minimized.

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To determine the third unknown parameter Δt in the same way, we would have to compute the partial derivative

$$\frac{\partial T_B}{\partial \Delta t} = \frac{\partial T_B}{\partial T_A} \frac{\partial T_A}{\partial \Delta t} = s \cdot \frac{\partial T_A}{\partial \Delta t}. \quad (6)$$

Neither an a priori value for s nor $\partial T_A / \partial \Delta t$ are known. We therefore propose to determine the time shift Δt independently by cross-correlation of the time series of observed temperatures T_A and T_B .

Correlation analysis

The idea behind the calcFLOW-method is that a weather induced temperature pattern is visible at all measuring stations inside the cave and that it is sufficiently unique to produce a distinct maximum of correlation when cross-correlating the observed temperature time series of two different loggers. For this purpose one of the time series is shifted in time until maximum correlation is reached. The time shift corresponding to optimal correlation of both time series is equal to the travel time of the air between the two temperature loggers. To determine the airflow speed the length of the passage between the two loggers has to be divided by the travel time of the air. An analogous method is used, e.g. in hydrology to determine the travel time of a flood pulse or, when applied to karst springs, the time delay between rainfall and discharge (see, e.g. Padilla and Pulido-Bosch, 1994; Laroque et al., 1998). In case of hydrology the medium is water, not air, and the observable is the flow rate, not the temperature. Analogous to our case the signal is damped by mixing with stagnant water (in our case stagnant air).

Pearson's correlation coefficient between two linearly correlated time series X and Y of n samples each is computed by

$$r = \frac{\sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (x_i - \bar{x})^2} \sqrt{\sum_{i=1}^n (y_i - \bar{y})^2}}, \quad (7)$$

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ning of the time period correspond to higher temperatures and consequently a less pronounced gravitational airflow. Near the end of the period the temperatures rise so much that the air movement stops, the open period of the ice cave is interrupted and our model is not longer valid. Consequently the correlation analysis fails. This result is confirmed by the airflow speeds determined between T1 and T4.

The somewhat different values determined from the analysis of either 51 or 101 samples indicate that the slow airflow at the beginning of the period affects the results for a longer time if 101 samples are considered for correlation. In general the correlation of a larger amount of samples leads to smoother results.

In case of the analysis of loggers T1 and T4 we get very variable results for the airflow speed as well as for the value of maximum correlation. A closer look at the correlation function at single epochs would reveal that side maxima distort the analysis. The results achieved for 51 or 101 samples agree best during the middle of the period. The smoothing of the data generally improves correlation, but does not significantly alter the determined airflow speeds.

After applying the determined time shifts to the time series of temperature observations at loggers T2, T3 and T4 optimal biases and scale factors were estimated for each epoch. The results are summarized in Fig. 9 and show a strong dependency on the temperature of the cold inflowing air. The larger the temperature gradient of the cold outside air with respect to the more stagnant cave environment, the stronger the energy flux between cave (air, rock, ice) and inflowing air.

Again the parameters were fitted either from 51 temperature samples or from 101 samples. Because the fit is optimal to all samples used, an averaging takes place and the results obtained from more samples look considerably smoother. In this context a smoothing (moving mean) of the temperature time series prior to the estimation of biases and scales will not alter the results significantly (as confirmed by Fig. 9).

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3.2 Validation of model parameters

The time shifts derived from the correlation analysis could most easily be validated by actual airflow measurements. But we do not have airflow measurements available and so we depend on internal validation methods. In Sect. “Correlation analysis” it was already mentioned that the shape of the correlation function is an indicator for the reliability of the determined time shift. A distinct maximum indicates a reliable determination of the corresponding time shift. The maximum value of the correlation coefficient more-over validates the general applicability of the linear model assumed. In our analysis of data collected in Schellenberger Eishöhle correlation was generally high (> 0.9 for most of the time analyzed) and we can safely assume the model to be valid.

A further internal method (without the use of other measurements) for validation of the model and the determined parameters is the study of the formal errors of the parameters derived from the least squares adjustment and the post fit error of the modeled temperatures when compared to the actually observed ones.

The post fit standard deviation σ of the modeled temperatures is easily computed from the sum of squares of the residuals

$$v^2 = \sum_n (T_{B,\text{observed}} - T_{B,\text{modelled}})^2 \quad (8)$$

$$\sigma = \sqrt{\frac{v^2}{n-u}} \quad (9)$$

with n the number of observations used to fit the model (in our examples so far chosen to be equal to the number n of samples used for the correlation analysis) and u the number of unknown parameters estimated. The time shift is determined independently of bias and scale factor, nevertheless we chose $u = 3$.

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the reduced model to test the significance of s reads

$$T_{B_s}(t) = T_A(t - \Delta t) + b^*, \quad (13)$$

and finally the reduced model to test the significance of b^* reads

$$T_{B_{b^*}}(t) = s \cdot (T_A(t - \Delta t) - \bar{T}_A) + \bar{T}_A. \quad (14)$$

5 Note that it is not correct to determine the parameters of the full model once and subsequently insert them into the reduced models. Instead the parameters of each of the reduced models have to be determined in a separate estimation procedure to also take into account the correlations between the different parameters. As mentioned before the correlations may be neglected here for the test of bias and scale, which can be
 10 determined quite independently, but for the significance test of the time shift, both parameters of the reduced model (Eq. 12) have to be re-estimated with a time shift of $\Delta t = 0$.

We perform a F test (e.g. Snedecor and Cochran, 1989) computing the ratio:

$$\Phi = \frac{(v_r^2 - v_f^2) / (r_r - r_f)}{v_f^2 / r_f}, \quad (15)$$

15 v_r^2 and v_f^2 are the sum of squares of the observed temperatures after subtraction of the modeled ones (see Eq. 8), subscript “f” refers to the full model, subscript “r” to the reduced model. r_r and r_f are the corresponding degrees of freedom $n - u$ of the two models, the number of unknowns $u_r = u_f - 1$ of the reduced model being smaller than that of the full model u_f and therefore $r_r = r_f + 1$.

20 Φ is F distributed, its probability density function $F_{nm}(\Phi)$, with $n = r_f$ and $m = r_r - r_f$, is a measure for the probability, that the additional parameter in the full model could have been estimated in the same way from normally distributed random numbers. We evaluate the associated cumulative distribution function and reject all parameters for

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We can state that we received realistic results in Sect. 3, e.g. 2–3 m min⁻¹ for inflowing air, 0.5 m min⁻¹ for outflowing air, but the sampling rate limited the time resolution of the correlation analysis. This does not reduce the validity of our model, but clearly shows that the time resolution of the temperature data has to be chosen carefully. However even with rather large observation intervals we were able to characterize the general patterns of air movement and their slow temporal variations. Our suggestion would be to define shorter observation intervals in a future measuring campaign and then process the data with calcFLOW to get refined results.

Care has to be taken also in the selection of the positions for the temperature loggers to capture the airflow of interest. While we conducted numerous calculations to test the calcFLOW-method, we also figured out that – as an interesting side effect – we resolved several phenomena observed in the basic data analysis, which were not well understood before. For example, the different behavior of the temperatures recorded by the two loggers at Angermayerhalle lower part (T1) and Angermayerhalle upper part (T4) could be explained. While the first (T1) is recording the cold inflowing air, the other one (T4) records the warmed outflowing air, like we expected already before (Meyer, 2014). Moreover the former logger was found to be positioned to high above the floor to catch the inflowing air immediately, which the logger at Wasserstelle (T2) does with greater success. While T2 shows distinctive variations of rather short duration that clearly correspond to the temperature variations recorded by T1, the same variations are very much damped at T3 (Fuggerhalle). Here we record significantly warmed-up air with strongly damped variations due to the mixing of stagnant air with the already warmed-up inflowing air from outside.

The airflow regime at Schellenberger Eishöhle seems to be more complex than we expected. Like we described before we assume a major stream of inflowing cold external air from Angermayerhalle through Wasserstelle down to the deepest part and a major stream of outflowing warm air from the deepest part of the cave through Mörkdom passing logger T4 at Angermayerhalle upper part. So far we thought that all cold air is descending first to Fuggerhalle before the relatively warmer air is pushed out

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along the ceiling towards Mörkdom. Depending on the airflow speed, with which the cold airstream arrives at the crossing point between Fuggerhalle and Mörkdom, it may be also possible that only a minor side stream descends to Fuggerhalle and the major stream flows directly towards Mörkdom. To prove this theory it would be necessary to install more air temperature loggers in the passage between Fuggerhalle and Mörkdom, but this is part of possible activities for future measurements.

In summary we can state that our simple linear model is able to explain diverse phenomena observed at Schellenberger Eishöhle, but also indicates new questions in the analysis. The additional information we gather with calcFLOW enables us to understand the airflow dynamics and the specific cave climate in more detail. Additionally, temperature biases and scaling factors for the temperature variations observed by the different loggers were determined. The values determined match the simple model of inflowing cold air that mixes with stagnant and relatively warm air inside the cave. Basically one can say that the warming of inflowing external air depends on the travel distance and travel time of the air inside the cave. The damping of the temperature variations due to continuous mixing of external and cave air also shows the dependency on travel length, i.e. stronger damping with longer travel distance and time. Both parameters agree well with the air movements determined by the correlation analysis and may be used to validate those results.

4 Conclusions

The method of cross-correlation we use for calcFLOW in general depends on rather distinctive temperature variations to successfully correlate the observations of different loggers. On the other hand the airflow speed is supposed to be relatively constant during the time span used for correlation. These two requirements contradict each other and it has to be shown by further studies to what extent the temporal variability of the air movements inside the cave may be resolved. Probably the reliability of the analysis will benefit from an increased sampling rate of the temperature observations. Regard-

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less of the complexity of the situation at our test site we may state that the presented method is well suited to uncover the complicated air movements in the cave. The results of the analysis will help to optimize the placement of the loggers. An increased number of loggers positioned near the floor as well as near the ceiling of the passages will allow to distinguish the paths of the inflowing and outflowing air with much better resolution and reliability. Decreased sampling intervals will enable the determination of the speed of the rather fast inflowing cold air and generally improve the reliability of the correlation analysis.

We already tested calcFLOW with air temperature data from Fossil Mountain Ice Cave (USA), but these results will be part of future publications. What we can already state for the moment is that calcFLOW is applicable to other ice caves, too. And this is one major outcome of this pilot study and also a reason for us to keep the model as simple as possible. We want to present a basic tool for cave climate studies, which allows everyone to use it for their specific site. To summarize the outcome of this study, we can say that calcFLOW is useful to:

1. characterize the airflow regime inside a static ice cave,
2. compute (interpolate) with one simple model, based on only 3 determined parameters, the temperature at any time and any place between two loggers,
3. indicate possible problems in the measuring set-up (e.g. position and height of loggers), and
4. indicate useful observation intervals.

In a next step we will validate the calculated airflow speeds by comparison to real-time airflow measurements in order to improve our model. With these results we will be able to differentiate specific cave parts based on the cave climate and to determine the influence of the cold air on the cave climate, e.g. for questions of energy exchange in the cave. Moreover we would like to calculate the energy balance of the cave based on the air exchange. For this attempt we would need a dense measuring network, which

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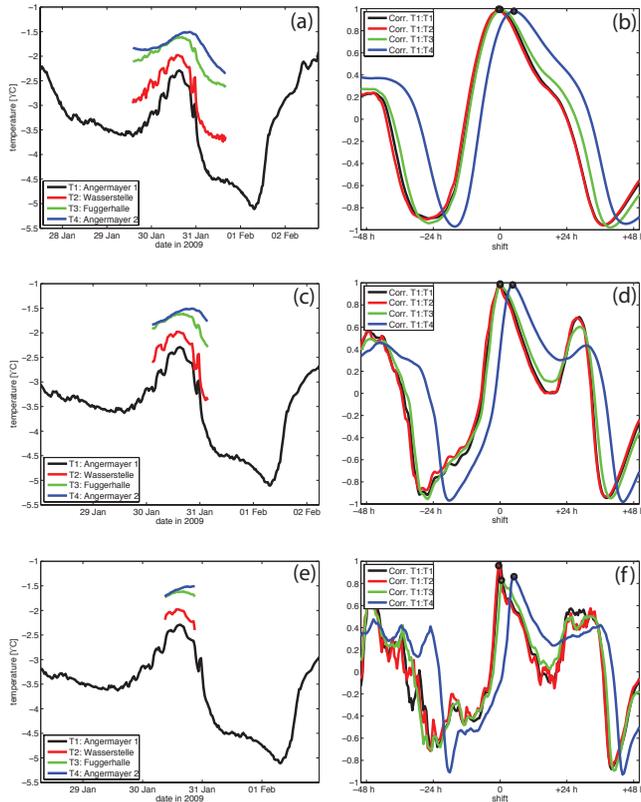


Figure 1. Observed temperatures (left panels) and correlation functions (right panels) during a period of large temperature variations, well suited for correlation analysis. Data of loggers T2, T3, or T4 are cross-correlated with the data of logger T1 using a correlation length of 101 (**a, b**), 51 (**c, d**) and 25 (**e, f**) samples. Correlation maxima are more distinctive the less samples are used.

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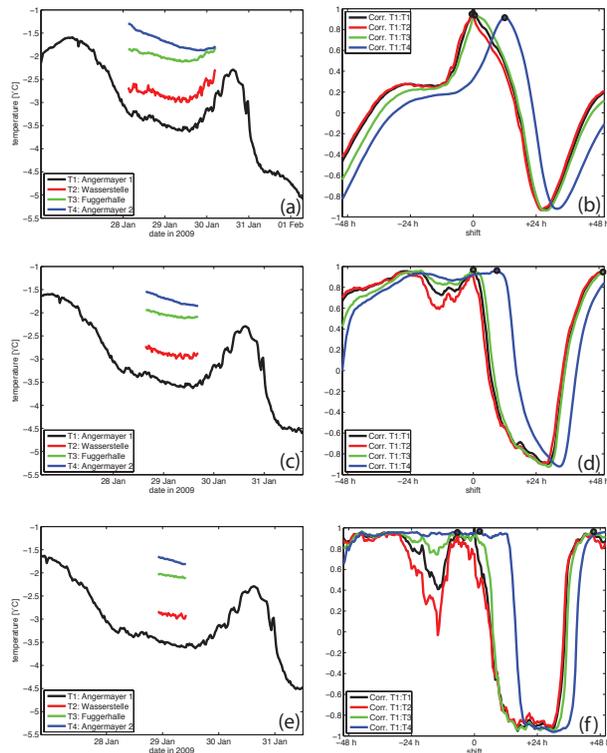


Figure 2. Observed temperatures (left panels) and correlation functions (right panels) during a period of small temperature variations, apparently not so well suited for correlation analysis. Data of loggers T2, T3, or T4 are cross-correlated with the data of logger T1 using a correlation length of 101 (**a, b**), 51 (**c, d**) and 25 (**e, f**) samples. Despite only little temperature variations distinctive maxima are visible in (**b**), but the less samples are used the worse the correlation maxima are defined respectively are not visible anymore. Time shifts determined from (**d**) or (**f**) are meaningless or even wrong because of side maxima.

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Figure 3. Map of German show caves with the position of Schellenberger Eishöhle (© Bundesamt für Kartographie und Geodäsie, www.bkg.bund.de).

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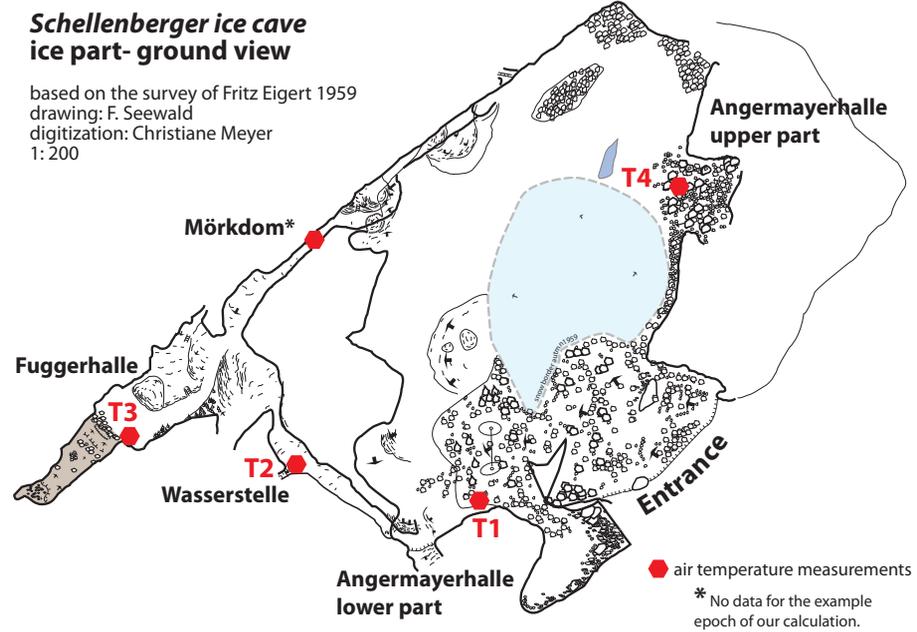


Figure 4. Groundmap with positions of all used measuring points in Schellenberger Eishöhle.

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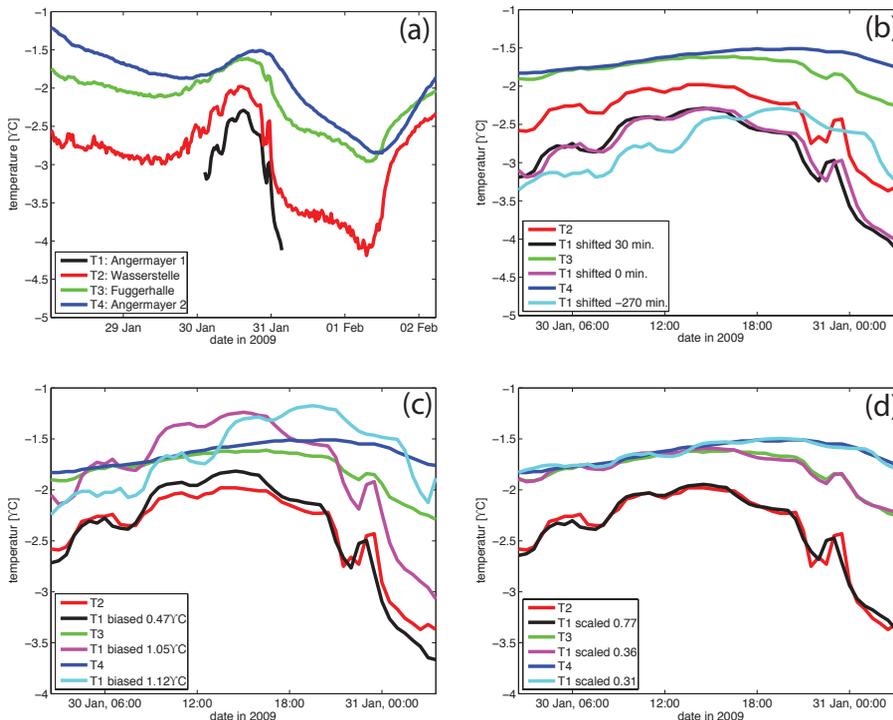


Figure 5. A time span of 51 samples was used for correlation analysis and to adjust temperature biases and scale factors. For the correlation analysis raw data **(a)** of logger T1 (Angermayerhalle, inflowing) were shifted along the extended period displayed for loggers T2 (Wasserstelle), T3 (Fuggerhalle) and T4 (Angermayerhalle, outflowing) for best correlation **(b)**. In a further step **(c)** temperature biases at logger T1 were applied to fit loggers T2, T3 or T4, before the temperature variations at logger T1 were scaled to fit loggers T2, T3 or T4 **(d)**.

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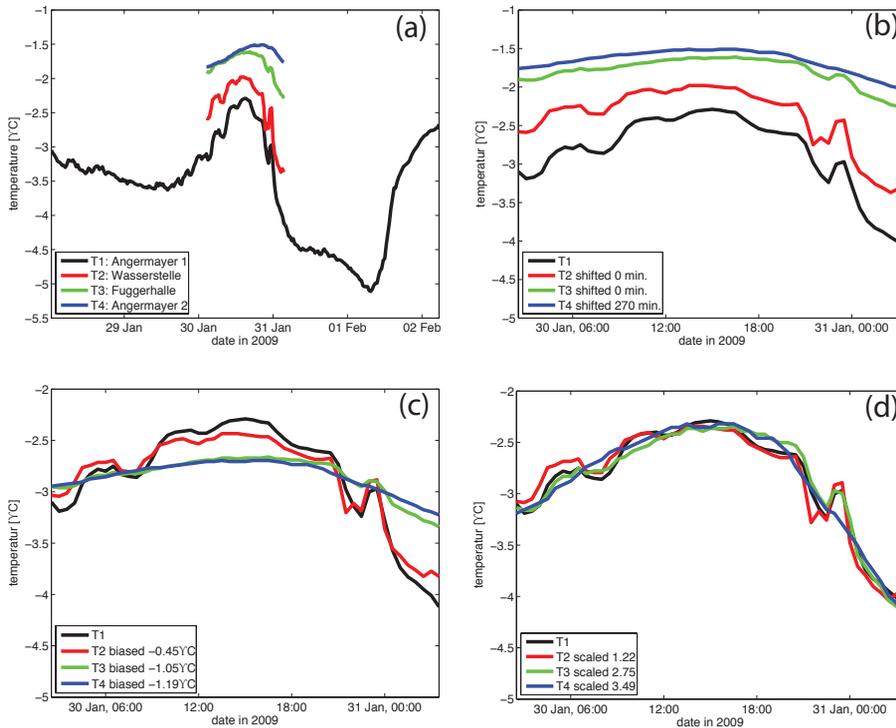


Figure 6. In this example the raw data of loggers T1, T2, T3 and T4 **(a)** were used. A time span of 51 samples was used for correlation analysis and to adjust temperature biases and scale factors. For the correlation analysis raw data of loggers T2 (Wasserstelle), T3 (Fuggerhalle) and T4 (Angermayerhalle, outflowing) were shifted in time along the extended period displayed for logger T1 (Angermayerhalle, inflowing) to be correlate **(b)**. Furthermore temperature biases at loggers T2, T3 and T4 are applied **(c)** and temperature variations at loggers T2, T3 and T4 are scaled to fit those at logger T1 **(d)**.

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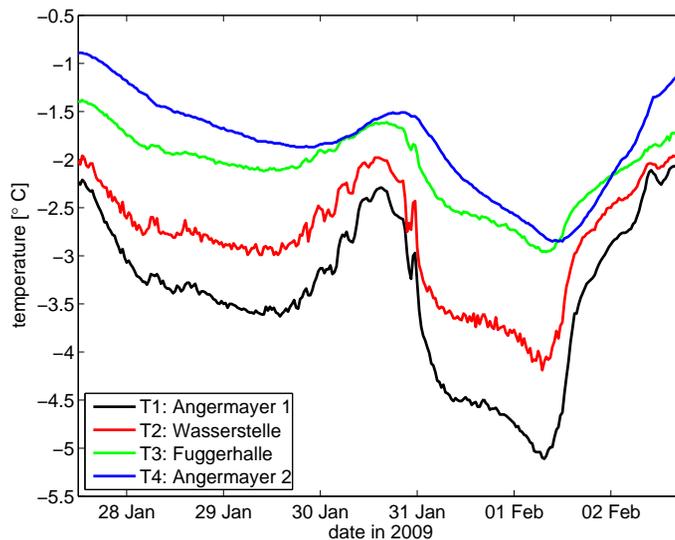


Figure 7. Temperature observations of all 4 loggers during the time period analyzed.

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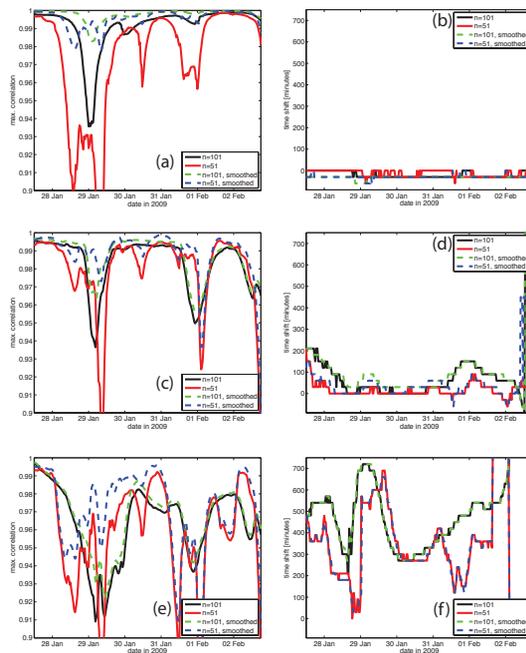


Figure 8. Value of best correlation (left panels) and corresponding time shift (right panels) for the three pairs of loggers T1 : T2 (top panels), T1 : T3 (middle panels) and T1 : T4 (bottom panels); for smoothing the moving mean of 5 samples was computed. Times of bad correlation correspond to periods or little temperature variations (compare to Fig. 7). A negative time shift may indicate a placement of T1 to high above the floor. The sudden drop after 2 February may be caused by a ceasing of the gravitational airflow due to rising outer temperatures. Slow airflow speeds on 27 January correspond to mild outside temperatures. On 2 February ventilation stops completely. Due to the strong damping of signal at T4 a long correlation length results in more plausible correlation. Sudden jumps around 29 January and 2 February are caused by wrong maxima of correlation.

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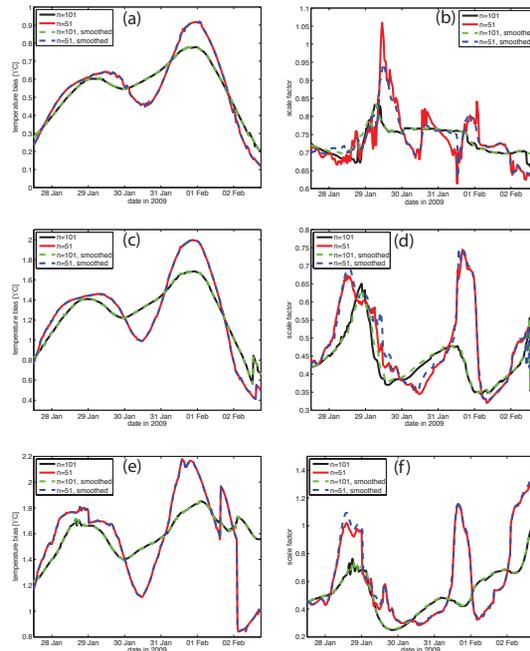


Figure 9. Bias (left panels) and scale factors (right panels) for the three pairs of loggers T1 : T2 (top panels, T1 : T3 (middle panels) and T1 : T4 (bottom panels); for smoothing the moving mean of 5 samples was computed. Times of large biases correspond to periods of low outside temperatures (compare to Fig. 7) and consequently large temperature gradients. Scale factors for the logger pair T1, T2 are rather variable and probably strongly influenced by the short term variations visible in both time series. The jumps after 2 February are caused by a loss of correlation due to ceasing airflow. Strong damping of the signal (small scale factors) generally corresponds to low outside temperatures and consequently large temperature gradients. Jumps indicate problems in correlating both time series due to the strong damping of the variations at T4.

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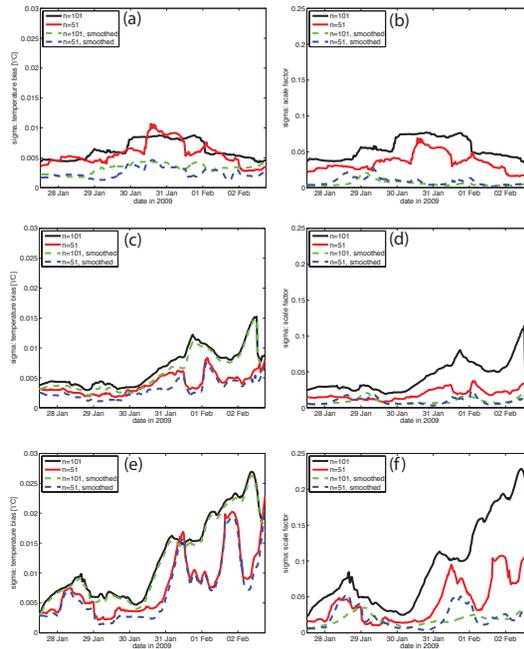


Figure 10. Standard deviations of bias (left panels) and scale factors (right panels) for the three pairs of loggers T1 : T2 (top panels), T1 : T3 (middle panels) and T1 : T4 (bottom panels). The short period variations of T1 and T2 seem to complicate the fit, but smoothing cures this problem. The determination of scale factors generally benefits significantly from a smoothing of the data. The fit is generally better for smaller numbers of samples (as can be expected), smoothing in (c) and (e) does not lead to better results because the short term variations farther in the cave are already much damped. The rise and jump on 2 February (c–f) indicate problems in the model due to the interruption in the open phase. The uncertainty of the fit increases with the longer distance between the loggers T1 and T4.

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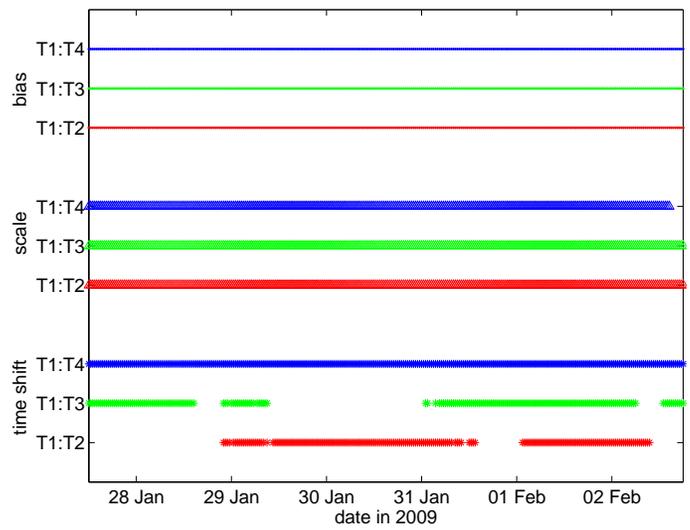


Figure 11. Significantly determined parameters, correlation length 101 samples.

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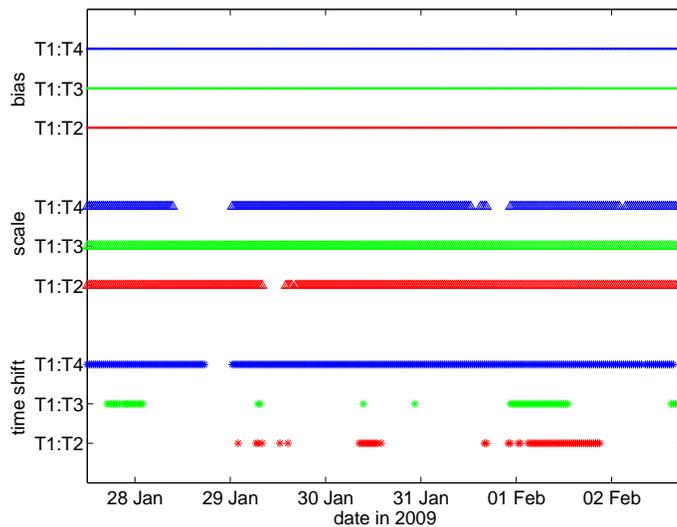


Figure 12. Significantly determined parameters, correlation length 51 samples.

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