

Phytoplankton
physiology in South
China Sea

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Photophysiological state of natural phytoplankton communities in the South China Sea and Sulu Sea

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Abstract

In recent years, an increasing number of studies on phytoplankton in the tropical South China Sea (SCS) and Sulu Sea (SS) have been conducted. However, still little is known about the photophysiological state of natural phytoplankton communities under varying environmental conditions. This study investigates the photophysiological state of natural phytoplankton communities in the southern SCS and SS based on high horizontal and vertical resolution field observations collected during the SHIVA (Stratosphere ozone: Halogens in a Varying Atmosphere) cruise (SO 218) in November 2011 on board RV *Sonne*. At the surface, pigment results revealed that total chlorophyll *a* (TChl *a*) concentrations at all offshore stations were low at the surface and were generally dominated by cyanobacteria. Enhanced concentrations of TChl *a* were only observed below the upper mixed layer and above the euphotic depth with haptophytes, prochlorophytes and prasinophytes contributing most of the biomass. At stations close to the coast and river outflows, surface phytoplankton blooms (between 1 to 2.2 mg m⁻³) dominated by diatoms were observed. Overall, the study region exhibited strong nitrate + nitrite (NO_x, < 1 μmol L⁻¹), and phosphate (PO₄, < 0.2 μmol L⁻¹) depletion from surface down to about 50–60 m. Silicate (Si) exhibited similar trends with the exception of some near shore stations in which high Si concentrations (> 2 μmol L⁻¹) were observed in conjunction with increased TChl *a* and diatoms concentrations. Surface NO_x concentrations were observed to correlate positively with temperature ($\tau = 0.22$, $p < 0.05$, $n = 108$), whereas negative correlations were reported between surface NO_x ($\tau = -0.27$, $p < 0.05$, $n = 108$), Si ($\tau = -0.68$, $p < 0.05$, $n = 108$) and salinity indicating that the enhancement in nutrients at the surface was probably supplied through fresher and warmer river waters near the coast. In contrast, the opposite was observed between temperature, salinity and all nutrients in the water column suggesting that nutrients were supplied from the bottom through upwelling. Pigment gradients show that phytoplankton were optimising their pigment composition to acclimate to

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changes in the light climate and cells were in a competent state as suggested by high maximum photochemical efficiency values (F_v/F_m , > 0.4).

1 Introduction

The South China Sea (SCS) and Sulu Sea (SS) are both semi-enclosed marginal seas located in the western Pacific Ocean with the SCS being the largest marginal sea in the world. The SCS is connected to the SS basin through the Mindoro Strait in the north of Palawan and the Balabac Strait in the southwest (Sprintall et al., 2012). It has long been recognised that hydrographical processes in the SCS and SS are driven by the seasonally reversing Asian monsoons (November to March: northeast monsoon; June to September: southwest monsoon) (Wyrтки, 1961). The SCS is also subjected to major river influences, e.g. Pearl River from southern China in the north, Mekong River from Thailand/Vietnam in the west, Pasig River from Luzon island in the east, and Rajang River from Borneo in the south (Fig. 1). These rivers contribute to high sedimentation rates and substantial amounts of nutrients runoff to the SCS (Wong et al., 2007).

Due to basin-wide surface circulation gyres that isolate the nutrient-rich coastal waters from the interior of the SCS, the deep water SCS basin is mostly oligotrophic (Wong et al., 2007). However, phytoplankton blooms can be observed near the coast and the monsoon-driven upwelling areas off the central coast of Vietnam in summer, and around the northern Sunda Shelf and off northwestern Luzon in winter (Chao et al., 1996; Shaw et al., 1996; Liu et al., 2007). Elevated chlorophyll concentrations occur in the upwelling areas during the monsoon and low concentrations during inter-monsoon periods (Liu et al., 2002). The combination of atmospheric and hydrographic variability, both temporally and spatially, results in high physico-chemical variability (e.g., temperature, salinity, nutrients) across the SCS and SS.

Despite the realisation of these high environmental gradients, serious gaps remain in our ability to estimate and predict the distributions of phytoplankton biomass and productivity. This is due to incomplete knowledge on the effects of environmental variability

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ity on photophysiological state of natural phytoplankton communities (Behrenfeld et al., 2004). In a recent study using a coupled physical-biogeochemical model, Liu et al. (2007) showed that the exclusion of photoadaptation information in the model resulted in an 35 % underestimation of primary production in the northern SCS. It is widely accepted that variabilities in phytoplankton growth and productivity in response to varying environmental gradients are imprinted in their photophysiological conditions such as the coordination and arrangement of the photosynthetic/photoprotective apparatus in order to maximise photosynthetic performance (e.g., Richardson et al., 1983; Moore et al., 2006; Brunet and Lavaud, 2010). Therefore, it is crucial that this information is acquired to better understand the response of natural phytoplankton communities under varying environmental regimes and for improving estimates of primary production, especially the relatively understudied southern SCS and SS.

In the present study, we investigated community structure and photophysiological conditions of natural phytoplankton communities in the southern part of the SCS and SS as parts of the SHIVA (Stratosphere Ozone: Halogens In a Varying Atmosphere) campaign on board RV *Sonne* (SO218) from 15 to 29 November 2011. Using a combination of high performance liquid chromatography (HPLC), fast repetition rate fluorometry (FRRf), hyperspectral radiometers, and spectral absorption measurements, we present an insight on the photophysiological state of natural phytoplankton communities across a gradient of environmental variability based on high resolution horizontal and vertical data collected in the shelf area and deep basin open ocean of the SCS and SS.

2 Methods

2.1 Sampling

The two-week SO218 SHIVA-*Sonne* cruise covered a transect from Singapore (1.26° N, 103.82° E) to Manila (14.59° N, 120.97° E), crossing the shelf areas of both

South China Sea (SCS) and Sulu Sea (SS), and the deep water basin in the SS (Fig. 1). During the underway transect, surface (~ 6 m) seawater samples were collected every 3 to 4 h from ship's moonpool for HPLC, phytoplankton absorption, and nutrients analyses. Continuous measurements of surface incident irradiance and chlorophyll fluorescence parameters were performed through a hyperspectral radiometer (RAMSES ACC-VIS, TriOS GmbH, Germany) and a FASTtracka fast repetition rate fluorometer (FRRf) (Chelsea Technology Group, UK), respectively. Underway sea surface temperature, salinity and wind speed were also recorded continuously by shipboard weather observatory. At two occasions, surface (≤ 10 m) water samples near to the coast of Kuching and Kota Kinabalu (Fig. 1) were collected for pigment and absorption analyses from a small local boat deployed by two local Malaysian universities (Universiti Malaysia Sarawak and Universiti Malaysia Sabah) as the water depths at these locations were too shallow for RV *Sonne*.

Vertical distributions of the same physical, chemical and biological parameters were also measured at 14 CTD (conductivity-temperature-density) stations with seven stations each in the SCS and SS. Within the 14 stations, a total of 35 CTD casts were deployed. Table 1 shows information of CTD stations relevant to this study. Hydrographic data (e.g. temperature, salinity, pressure, dissolved oxygen) were recorded using a Seabird 911plus CTD sensor integrated with auxiliary sensors. Detailed sampling frequency and method are described in the respective method section below. Immediately after each CTD deployment, an optical cast was deployed at a vertical rate of $\sim 0.5 \text{ m s}^{-1}$ to measure bio-optical and optical properties of the waters. The optical cast consisted of a second FRRf, a 2π 400–700 nm integrated photosynthetically active radiation (PAR) sensor, and a pressure sensor (all from Chelsea Technology Group, UK). Daily surface PAR values were obtained from MODIS-4 km Level 3 data. The vessel was aligned to avoid ship shadow prior to the deployment of each optical cast.

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2.2 Nutrients

Nutrients (nitrate + nitrite, phosphate, silicate) were analysed photometrically with a QuAatro auto-analyser (SEAL Analytical, UK) according to the methods described in Grasshoff et al. (1999) and SEAL Analytical operation manual (Q-068-05 Rev 7, Q-064-05 Rev 4, Q-066-05 Rev 3). Nutrients were determined from triplicate samples collected at the surface during underway transect and from four to eleven depths ranging from surface to 160 m at every CTD station. Precisions of the measurement were determined by averaging the standard deviation of all measurements as follow: $0.1 \mu\text{mol L}^{-1}$ for nitrate + nitrite, $0.02 \mu\text{mol L}^{-1}$ for phosphate and $0.1 \mu\text{mol L}^{-1}$ for silicate.

2.3 Mixed layer depth and euphotic depth

The mixed layer depth (z_{ML}) was calculated from each CTD profile as the first depth at which the density was 0.03 kg m^{-3} greater than the density at 10 m (Thomson and Fine, 2003). This particular criterion was chosen as it delivers a simple and reliable estimate of z_{ML} in this study area. The euphotic depth (z_{eu}) and mean light attenuation coefficient of PAR (k_{d}) for all stations were calculated based on chlorophyll profiles following the method of Morel and Berthon (1989) as further refined by Morel and Maritorena (2001) as not all CTD stations were carried out during the day. First, the z_{eu} ($z_{\text{eu}} \text{ PAR}$) and k_{d} ($k_{\text{d}} \text{ PAR}$) were determined from in situ PAR profiles. In order to calculate $z_{\text{eu}} \text{ PAR}$, the PAR measurements were corrected for incident sunlight following Smith and Baker (1986) using simultaneously obtained downwelling plane irradiance data at 490 nm measured above surface without ship's shading with a hyperspectral radiometer (RAMSES ACC-VIS, TriOS GmbH, Germany). As surface waves strongly affect measurements in the upper few meters, deeper measurements that are more reliable had to be used and extrapolated to the sea surface (Mueller et al., 2004). Similar to Stramski et al. (2008) a depth interval was defined ($z = 5$ to 21 m) to calculate $k_{\text{d}} \text{ PAR}(z)$. With $k_{\text{d}} \text{ PAR}(z)$, the subsurface PAR (PAR at 0 m) were extrapolated from the PAR profiles. In order to infer the z_{eu} from chlorophyll concentrations, first

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a high depth resolved chlorophyll profile needed to be derived. The high depth resolved chlorophyll profiles were derived from vertical maximum fluorescence (F_m) values obtained from each FRRf deployment and calibrated with collocated HPLC-derived total chlorophyll *a* (TChl *a*, sum of monovinyl Chl *a* and divinyl Chl *a*) concentrations at six depths of each profile according to Fujiki et al. (2011) (see Sect. 2.6 for further description). From the high depth resolved TChl *a* profiles, chlorophyll integrated for z_{eu} (C_{tot}) was determined in which a given profile was progressively integrated with respect to increasing depth. The successive integrated TChl *a* values were introduced in Eq. (1) (1a or 1b accordingly) to provide successive z_{eu} values that were progressively decreasing. Once the last z_{eu} value became lower than the depth used when integrating the profile, the C_{tot} and z_{eu} values from the last integration were taken. Profiles which did not reach z_{eu} were excluded.

$$z_{eu} = 912.5 \cdot C_{tot}^{-0.839}; \text{ if } 10 \text{ m} < z_{eu} < 102 \text{ m} \quad (1a)$$

$$z_{eu} = 426.3 \cdot C_{tot}^{-0.547}; \text{ if } z_{eu} > 102 \text{ m} \quad (1b)$$

The z_{eu} values were then validated with the collocated z_{eu} PAR values. Out of 14 CTD stations, a total of 10 PAR profiles were measured at 9 stations. During the cruise, Station 1 and 3 were very shallow to determine z_{eu} which was confirmed by both z_{eu} and z_{eu} PAR. For Station 5, PAR profiles were measured at two daytime casts while TChl *a* measurements were only available on a third cast. Hence, the comparisons between z_{eu} PAR and z_{eu} for Station 5 were carried out based on the average of the PAR profiles (68.05 m; the two cast were only 1 m apart). So finally seven stations with collocated z_{eu} and z_{eu} PAR could be compared in order to verify the z_{eu} values. For each collocation, the error (Eq. 2) were calculated which ranged from -18.13% to 15.75% , with an average value of -10.98% and a minimum value of -6.20% . Compared to other studies (e.g., Lee et al., 2007; Milutinović, 2011; Cherkasheva et al., 2013), our errors are rather low and gives us confidence in the z_{eu} values.

$$\text{error} = (z_{eu} \text{ CHL} - z_{eu} \text{ PAR}) / z_{eu} \text{ PAR} \quad (2)$$

2.4 Fast repetition rate fluorometry

The FRRfs were equipped with dual chambers (open and enclosed). The open chamber measured chlorophyll fluorescence under natural ambient light while the enclosed chamber provided a short (~ 1 s) dark-adaptation. For vertical deployment, both open and enclosed chambers were used, whereas only the enclosed chamber was activated during the underway transect. The FRR fluorometers were programmed to deliver flash sequence consisting of a series of 100 subsaturation flashlets at $1.1 \mu\text{s}$ duration and $2.8 \mu\text{s}$ intervals followed by a series of 20 relaxation flashlets ($1.1 \mu\text{s}$ flash duration and $51.6 \mu\text{s}$ intervals). Fluorescence transients were then fitted to the biophysical model of Kolber et al. (1998) to determine the maximum (F'_v/F'_m), effective (F'_q/F'_m) photochemical efficiency of PSII under ambient light and maximum photochemical efficiency of PSII in darkness (F_v/F_m), and functional absorption cross section of PSII in darkness (σ_{PSII}) and under ambient light (σ'_{PSII}) as described by Cheah et al. (2011). The photochemical quenching coefficient, q_p , was estimated from $F'_q/F'_v = (F'_m - F')/(F'_m - F'_o)$ (Suggett et al., 2006; Raateoja et al., 2009). Table 2 shows the descriptions of the parameters used in this study. Fluorescence parameters were corrected for background fluorescence determined from filtered ($0.2 \mu\text{m}$) seawater every two to three day for underway FRRf data. For vertical profiles, fluorescence parameters at the surface and chlorophyll maximum layer (when applicable) were corrected for background signal from filtered seawater collected at these two depths. Corrected continuous surface FRRf data were binned every 10 min and vertical data were binned into 1 m block.

2.5 Phytoplankton pigment, absorption and community structure

Samples for pigment and absorption analyses were collected from ship's moonpool during underway and from CTD Niskin bottles at six different depths from the upper 150 m during CTD station. An additional sampling of surface waters at 1, 5, and 10 m were carried out at two near shore stations by local small boat. All collected samples were filtered through 13 cm and 25 cm Whatman GF/F filters, respectively, under low-vacuum

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pressure (below 200 mbar), and then immediately shock-frozen in liquid nitrogen and stored at -80°C for later analysis in the lab. Pigments were analysed using HPLC technique based on the method described in Taylor et al. (2011). Identification and quantification of pigments were carried out using the program EMPOWER by Waters and the data were quality controlled according to Aiken et al. (2009). For pigment-based analysis, phytoplankton classes were determined using the CHEMTAX program (Mackey et al., 1996) followed the pigment ratio matrix of Zhai et al. (2011) as starting points for the SCS and SS regions.

Measurement for total particulate [$a_p(\lambda)$] and detrital [$a_d(\lambda)$] matter absorption spectra between 350 to 800 nm were carried out using a Cary 4000 UV/VIS dual beam spectrophotometer equipped with a 150 mm integrating sphere (Varian Inc., USA). Chl *a*-specific phytoplankton absorption spectra [$a_{ph}^*(\lambda)$, $\text{m}^2(\text{mg Chl } a)^{-1}$] were obtained as the difference between the a_p and a_d and normalized to TChl *a* concentrations [$a_{ph}^* = (a_p - a_d)/\text{TChl } a$]. The method is described in details in Taylor et al. (2011).

2.6 Continuous TChl *a* derived from FRRf – F_m

To obtain high resolution TChl *a* data, 10 min averaged continuous surface maximum fluorescence (F_m) measured by FRRf were used to derive continuous TChl *a* concentrations along the underway transect. This is based on the assumption that F_m is correlated to the amount of available chlorophyll (Kolber et al., 1998; Fujiki et al., 2011) as has been used in many active fluorometers. The method is described in details in Chapter 2.1.4 in Taylor et al. (2011) using the correction accounted for non-photochemical quenching as in Strass (1990) and identifying seven phytoplankton zones within our surface pigment composition data set. Mean conversion factors specific for each zone were determined for collocated F_m and HPLC-TChl *a* measurements. Uncertainties of TChl *a* from F_m for each zone (Zone 1 to 7) ranged from 11 %, 7 %, 10 %, 20 %, 3 %, 4 % and 9 % with 9, 17, 16, 7, 2, 8 and 6 collocations, respectively.

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2.7 Statistics

Non-parametric Kendall's rank correlation (τ) analysis was used to test the relationships between environmental properties (e.g. nutrients, temperature, salinity, wind) and biological properties (e.g. TChl *a*, phytoplankton groups) based on collocated data according to sampling date and time for surface data, and station and depth for CTD station data.

3 Results and discussion

The Results and discussion section is comprised of (i) surface (≤ 10 m) data, which consisted of underway sampling from ship's moonpool, local boat, and CTD stations measurements that are ≤ 10 m, and (ii) vertical profiles from CTD casts. In the following we describe in details the results of the physical, chemical and phytoplankton measurements (Sects. 3.1 to 3.7) and in Sect. 3.8 we present the results of the statistical analysis of correlations between the above mentioned parameters in order to identify the drivers of phytoplankton composition and growth in the SCS and SS.

3.1 Hydrographical properties

During the cruise, sea surface temperature (SST, ≤ 10 m) in the SSS and SS ranged from 27.5 °C to 30.5 °C (Fig. 2a). SST below 28 °C were only observed on 17 November for surface data (Fig. 2a) and at depth beyond 50–60 m at CTD stations (Fig. 3a). Sea surface salinity (≤ 10 m) in this study ranged from 30.5–33.3 (Fig. 2a). Freshening of waters at the surface was observed on 19 and 20 November near the coast of Kuching (Fig. 2a) and down to 50 m at CTD stations (CTD 5 to 14) in the SCS (Fig. 3b). At these stations, salinity was lower than 32.5. In general, salinity was higher in the open ocean of the SS than at shallow stations closer to the coast. This is probably due to the fact that the shallow stations were closer to the coast and subjected to river influences and precipitation. Freshening at these stations resulted in lower density in the upper 50 m

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(Fig. 3c). Surface wind speed during the cruise ranged from 0.4 to 17.6 m s⁻¹ (Fig. 2b). Dissolved oxygen (DO) distribution in the upper 55 m was quite uniform across the SCS and SS with values > 180 μmol kg⁻¹. Below 55 m, DO concentrations were lower in the SS than SCS. DO values < 100 μmol kg⁻¹ were observed below 100 m in the SS (Fig. 3d). Mixed layer depth (z_{ML}) at stations in the SCS ranged from 13–49 m, while in the SS, z_{ML} varied from 13–41 m. The depths of the euphotic zone (z_{eu}) ranged from 59–83 m and z_{eu} was always deeper than z_{ML} (Table 1). High surface (~ 6 m) in situ PAR (709 μmol photons m⁻² s⁻¹) was observed at Station 4 in the SCS and Station 11 in the SS (Table 1). Daily surface PAR (\bar{E}_o) ranged from 9.3 to 50.6 mol photons m⁻² d⁻¹ and are within the range of 15.6–75.3 mol photons m⁻² d⁻¹ reported in the northern SCS (Chen, 2005).

3.2 Nutrients

Concentrations of surface nitrate + nitrite (NO_x) and phosphate (PO₄) were < 1 μmol L⁻¹ and < 0.2 μmol L⁻¹, respectively. Surface NO_x were higher than PO₄ during the first half of the transect until Kota Kinabalu (Fig. 2c). From Kota Kinabalu until the end of the transect, PO₄ were mostly higher than NO_x except on some occasions in which concentrations of NO_x were higher. In contrast, surface silicate (Si) concentrations observed in this study were relatively high ranging from 1.48 to 6.96 μmol L⁻¹. Surface Si concentrations were lower offshore of Kuching and increased above 4 μmol L⁻¹ near the coast of Kuching. Offshore of Kota Kinabalu and in the SS, surface Si concentrations were within the ranged of 2–4 μmol L⁻¹. Vertical distribution of Si concentrations in general were < 2.0 μmol L⁻¹ at the surface, in which Si < 2.0 μmol L⁻¹ were only observed below 50–60 m (Fig. 3g). Nutrient concentrations observed in this study were mostly in agreement with the results of Ning et al. (2004) in winter, which reported low concentrations of NO_x (< 2 μmol L⁻¹) and PO₄ (< 0.2 μmol L⁻¹) in the southern shelf of the SCS. Si concentrations reported in this study were also consistent with the findings of Ning et al. (2004) which observed higher Si concentrations at deeper depth (75 m)

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than at surface with the exception that Si concentrations $< 2.0 \mu\text{mol L}^{-1}$ were observed near the coast in this study.

3.3 Phytoplankton compositions and distributions

In the SCS and SS, the surface waters were generally dominated by cyanobacteria with a contribution of about 30 %, followed by haptophytes, prochlorophytes, diatoms and prasinophytes (Fig. 4a). In areas with TChl *a* concentrations higher than 0.5 mg m^{-3} (Fig. 4b), phytoplankton communities composition changed from cyanobacteria dominated to diatom dominated communities with a corresponding increase in the contribution of prasinophytes to total phytoplankton pool (Fig. 4a). The highest individual phytoplankton group biomass ($\sim 1.3 \text{ mg TChl } a \text{ m}^{-3}$) was recorded in diatoms at the surface close to the coast of Kuching corresponds to maximum TChl *a* concentration observed during the cruise (Fig. 4a).

In contrast, vertical distributions of phytoplankton obtained from CTD stations across the SCS and SS were mostly dominated by prasinophytes and haptophytes, followed by prochlorophytes and pelagophytes (Fig. 5). In general, high prasinophytes, haptophytes, and prochlorophytes concentrations were recorded below the z_{ML} and above the z_{eu} . At CTD stations, maximum TChl *a* concentration for prasinophytes, haptophytes, pelagophytes, and prochlorophytes were 0.30 mg m^{-3} , 0.25 mg m^{-3} , 0.22 mg m^{-3} and 0.19 mg m^{-3} , respectively. Below the z_{eu} , an increase in biomass was observed in haptophytes, pelagophytes, and prochlorophytes at Station 12 in the SS (Fig. 5a–c). In contrast to the results of surface waters, maximum concentration of diatoms was only observed below the z_{ML} and close to the bottom at Station 3, in which diatom concentrations reached $0.32 \text{ mg TChl } a \text{ m}^{-3}$ (Fig. 5d). A similar pattern was observed in cryptophytes (Fig. 5g). Although concentrations of cyanobacteria were higher at the surface than other phytoplankton groups, high concentrations of cyanobacteria were also observed below the z_{ML} (Fig. 5f). Contribution of cyanobacteria were observed to be higher than other phytoplankton groups at Station 1. High concentrations of dinoflag-

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stations (Station 10) in the SS (Fig. 6b). In contrast, zeaxanthin (Zea), a marker pigment for cyanobacteria and prochlorophytes showed higher concentrations in the z_{ML} down to about 60 m (Fig. 6e). High concentrations of fucoxanthin (Fuco, associated mostly with diatoms) were observed at Station 3 (Fig. 6h) correspond to high biomass of diatoms at this station (Fig. 5d). Low TChl *a* concentrations observed during the cruise corresponding to low concentrations of macronutrients, which were close to limiting concentrations, especially at the surface. Similar results were reported by Wu et al. (2003) in the northern SCS. Lower TChl *a* concentrations observed at CTD stations in comparison to the underway transect was probably due to the fact that CTD stations were located more offshore and thus further away from nutrient inputs from the coast.

3.4.2 Ratios of accessory pigments

Ratios of the accessory photosynthetic pigments DVChl *b* normalised to DVChl *a* (DVChl *b*/DVChl *a*), chlorophyll *c*3 (Chl *c*3/TChl *a*), Fuco (Fuco/TChl *a*) (Fig. 7a–c), and 19-But (19-But/TChl *a*) (data not shown) normalised to TChl *a* increased with depth in almost all vertical profiles. Distribution of 19-Hex/TChl *a* (data not shown) with depth was less consistent. In general, only weak gradients in Chl *c*3/TChl *a*, Fuco/TChl *a*, and 19-But/TChl *a* ratios were observed within the mixed layer, whereas a clearer difference was observed between the mixed layer and euphotic depth, as well as below the euphotic depth. In contrast, inverse trends were observed in Zea (Zea/TChl *a*), the sum of diadinoxanthin (DD) and diatoxanthin (DT) [(DD + DT)/TChl *a*], and the sum of violaxanthin (Vio), antheraxanthin (Ant), and Zea (Vio + Ant + Zea)/TChl *a* normalised to TChl *a* which were all decreased with depth (Fig. 7). In addition, a strong gradient within the mixed layer as well as below the mixed layer was observed in Zea/TChl *a*, (DD + DT)/TChl *a*, and VAZ/TChl *a*. Low ratios of DVChl *b*/DVChl *a* within the euphotic zone (except Station 12, 13, 14) regardless of the mixed layer depth and higher ratios below the z_{eu} shows the existence of high light and low light acclimated *Prochlorococcus* in each layer (West and Scanlan, 1999).

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In microalgae, DD + DT and Vio + Ant + Zea are the two main xanthophyll cycles that operate as a rapid, low metabolic cost photoprotective mechanism regulating excessive photon flux in the light harvesting complexes of photosystems (Brunet and Lavaud, 2010; Goss and Jakob, 2010). When exposed to excessive irradiance, epoxidated DD pigment will be converted to de-epoxidated DD pigment in the time scales of minutes, whereas under low irradiance DD assists in light harvesting by transferring energy to chlorophylls. DD + DT cycle is found mainly in haptophytes, diatoms and dinoflagellates (van de Poll and Buma, 2009; Brunet and Lavaud, 2010). For chlorophytes and prasinophytes, VAZ is the main xanthophyll cycle (van de Poll and Buma, 2009). Under high irradiance, epoxidated Vio is de-epoxidated to Zea via an intermediate form of Ant (Brunet and Lavaud, 2010; Goss and Jakob, 2010) and the reverse occurred when cells were exposed to low light. An active xanthophyll cycle is not found in cyanophytes, prochlorophytes, and cryptophytes, although the involvement of various de-epoxidated forms of xanthophylls such as Zea are present (van de Poll and Buma, 2009; Brunet and Lavaud, 2010).

In general, (DD + DT)/TChl *a* and (Vio + Ant + Zea)/TChl *a* refer to the total photoprotective pigments of the xanthophyll cycle, whereas DT/(DD + DT) and (Ant + Zea)/(Vio + Ant + Zea) represent the de-epoxidation state of the xanthophyll cycle that are activated when cells were exposed to rapid increase in irradiance. Thus, (DD + DT)/TChl *a* and (Vio + Ant + Zea)/TChl *a* represent photoacclimation to ambient light at a longer time scales (more than an hour) than DT/(DD + DT) and (Ant + Zea)/(Vio + Ant + Zea). This information of photoacclimation is a useful indication of photoprotective response under fluctuating light and dynamic mixing conditions, which can be seen in the vertical distribution of (DD + DT)/TChl *a* and (Vio + Ant + Zea)/TChl *a* during the cruise (Fig. 7e and g) with higher ratios observed within the mixed layer. In contrast, the strong gradient in the de-epoxidated xanthophyll pigments within the mixed layer and the absence of strong gradient in Chl*c*3/TChl *a*, Fuco/TChl *a*, and 19-But/TChl *a* suggests that photoacclimation was slower than the mixing rates within the mixed layer as reported in Brunet and Lavaud (2010); Grif-

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fith et al. (2010). Below the mixed layer, high concentrations and a stronger gradient observed in all accessory pigments revealed that the water column was more stratified and cells were acclimated to low light. High values of $Zea/TChl\ a$ and $(Vio + Ant + Zea)/TChl\ a$ within the mixed layer at Station 1 show that this coastal station is highly mixed and cells were adapted to high light and dominated by cyanobacteria (Fig. 5f). Relatively high values of $Dt/(DD + DT)$ observed below the mixed layer at Station 7 to 10 could be due to chlororespiration when cells were exposed to prolonged darkness (Jakob et al., 2001).

3.5 Chl *a* specific phytoplankton absorption

At shallower coastal stations in the SCS (e.g. Station 1 and 2), a_{ph}^* were observed to be less distinctive between depth (Fig. 8a and b) than offshore stations with deeper depth in the SCS and SS. For deeper offshore stations, a_{ph}^* were usually lower at the surface and increase with depths (Fig. 8c–e). This occurs when cells are acclimated to low irradiances at deeper depth, leading to subsequent increase in pigmentation and less efficient absorption per mass of pigment Falkowski et al. (1985). In contrast, mixing rates at shallow coastal waters are usually higher than photoacclimation and leads to less variable a_{ph}^* . This is also due to a_{ph}^* recorded at the shallow coastal stations were within the mixed layer.

3.6 Chlorophyll fluorescence

Surface effective photochemical efficiency of PSII under ambient irradiance (F'_q/F'_m) varied between 0.07 and 0.42, whereas surface functional absorption cross section of PSII under ambient light (σ'_{PSII}) ranged from 320.6 to 976.2 (Fig. 4c). High surface σ'_{PSII} recorded between 23–24 November was due to lower irradiance (Fig. 4c). Vertically, higher F'_q/F'_m was observed ranging from 0.01 to 0.50. F'_q/F'_m values were low within the mixed layer but increased below the mixed layer (Fig. 9b) and then decreased again below 100 m. The vertical trend of the σ'_{PSII} had a similar distribution to the F'_q/F'_m

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with low values within the mixed layer, increased below the mixed layer and decreased again below 100 m (Fig. 9b). σ'_{PSII} varied from ~ 104 to $700 \text{ \AA}^2 \text{ quanta}^{-1}$. Photochemical quenching (q_P) has a similar distribution as F'_q/F'_m and σ'_{PSII} with low values usually observed at the surface under high in situ light. When the influence of light is negligible, high values can be observed (Fig. 9d). The suppression surface F'_q/F'_m and σ'_{PSII} values at all stations can be attributed to the effects of non-photochemical quenching (NPQ) and q_P which occur when cells were exposed to excessive irradiance (Kolber and Falkowski, 1993; Brunet and Lavaud, 2010). NPQ is a photoprotective mechanism that is stimulated when cells are exposed to high light which encompasses several processes that dissipate part of the excessive irradiance and reduces fluorescence (Brunet and Lavaud, 2010; Demmig-Adams and Adams, 2006; Li et al., 2009). q_P is associated with charge separation in the PSII reaction centre. Rapid NPQ response (seconds to minutes) to excessive light is important to phytoplankton inhabiting in an environment with fluctuating light climate as it regulates a rapid conversion between light harvesting and excessive excitation energy dissipation via rapid conversion of xanthophyll cycle pigments Lavaud and Kroth (2006); van de Poll and Buma (2009); Brunet and Lavaud (2010).

Maximum photochemical efficiency of PSII measured at night (F_v/F_m) were low in the surface and increased with depth reaching the maximum (~ 0.5 – 0.6) at around 20–80 m (Fig. 10). Night-time values of the functional absorption cross section of PSII (σ_{PSII}) were lower than during daytime (Fig. 9). σ_{PSII} were very similar in the SCS and SS being usually lower at the surface, increasing with depth to about 40–60 m and gradually decreasing again below 60 m (Fig. 10). It should be noted that several studies have shown that F_v/F_m and σ_{PSII} could vary amongst phytoplankton group/species (Suggett et al., 2009; Koblížek et al., 2001). In some species of diatoms and chlorophytes grown under nutrient-replete condition, F_v/F_m can reach up to 0.65–0.7. In contrast, some species of pelagophytes and prasinophytes show F_v/F_m values of 0.3 to 0.4 (Suggett et al., 2009). A corresponding low F_v/F_m and σ_{PSII} trend as shows in Fig. 10g–

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It suggests a community dominated by pico-eukaryotes and cyanobacteria as reported by Suggett et al. (2009).

3.7 Photoacclimation in the SCS and SS

Phytoplankton living in the relative shallow coastal regions are often challenged by strong light attenuation and even moderate water mixing can bring algae from full sunlight to complete darkness within minutes. Oceanic offshore waters, on the other hand, can have deep euphotic depth in which mixing of water column can take hours (MacIntyre et al., 2000). In this study, phytoplankton pigment, absorption and fluorescence parameters show that mixing rates within the mixed layer were faster than photoacclimation, especially in the coastal regions. In contrast, water columns were more stratified below the mixed layer at deeper offshore regions. High values of σ'_{PSII} ($< 600 \text{ \AA}^2 \text{ quanta}^{-1}$), and a_{ph}^* observed below the mixed layer suggest that phytoplankton in the deeper offshore stations on average spent more time in a relatively low irradiance environment. Phytoplankton in the open oceanic waters have been shown to saturate at relatively low irradiances ($100\text{--}300 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$) compared with the maximum surface irradiances ($> 1000 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$) Li (1994); Partensky et al. (1999). Low light acclimated phytoplankton residing in low nutrients environments such as observed at the stations further away from coast in the SCS and SS, are challenged by maintaining high photosynthetic efficiency while preventing photodamage, especially when mixed to the surface and exposed to excessive irradiance. Lower F'_q/F'_m , σ'_{PSII} , and q_P corresponded with higher concentrations of photoprotective pigments at the surface demonstrate that cells were deploying rapid photoprotective NPQ mechanism to shield against excessive irradiance. Below the mixed layer and z_{eu} , cells were functional and in a competent state as suggested in high q_P and F_V/F_m values.

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3.8 Chemical and physical parameters driving phytoplankton composition and abundance

During the cruise, nutrient depletion, particularly for NO_x and PO_4 was observed at most surface water station, while only below the z_{ML} and close to the z_{eu} nutrient concentrations were reaching concentrations favouring phytoplankton growth. In order to identify the drivers that influence phytoplankton abundance and composition, the relationships between different physical, chemical and biological properties measured during the cruise were statistically analysed (see Table 3).

A significant negative relationship was observed between surface TChl *a* and salinity ($\tau = -0.35$, $p < 0.05$, $n = 85$) (Table 3) but not with temperature indicates that low salinity could be due to river discharges which introduced additional input of nutrients. This is supported by the positive correlations between surface NO_x concentrations and temperature ($\tau = 0.22$, $p < 0.05$, $n = 108$) and a negative correlation observed between surface NO_x ($\tau = -0.27$, $p < 0.05$, $n = 108$), Si ($\tau = -0.68$, $p < 0.05$, $n = 108$) and salinity (Table 3). In contrast, all macronutrients were observed to correlate negatively with temperature in CTD data (NO_x : $\tau = -0.82$, $p < 0.05$, PO_4 : $\tau = -0.83$, $p < 0.05$, and Si: $\tau = -0.78$, $p < 0.05$) and positively with salinity (NO_x : $\tau = 0.73$, $p < 0.05$, PO_4 : $\tau = 0.72$, $p < 0.05$, and Si: $\tau = 0.67$, $p < 0.05$). These results clearly indicate that during the cruise increasing NO_x and Si concentrations were probably due to freshening introducing additional NO_x and Si from river run-offs at the surface, whereas the deeper waters were supplied with nutrients probably through upwelling of cold and salty waters. Similar results have also been reported by Ning et al. (2004) for the SCS surface waters.

Several studies have observed similar low phosphate concentrations (e.g., Ning et al., 2004; Wu et al., 2003) in the surface waters of the SCS for both summer and winter. A greater disappearance ratio of nutrients at the surface is often associated with a greater relative biological uptake but could also be related to differential recycling and remineralisation. Along the cruise, surface NO_x/PO_4 molar ratios were significantly

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higher than 16 (up to 170) for stations close to the shore (Fig. 2c). Similarly, Yin (2002) observed a ratio of 64 in the southern water of Hong Kong during summer, whilst Cai et al. (2002) documented vertical fluxes of nitrate and phosphate to the euphotic zone yielding a NO_x/PO_4 molar ratio of 35, which was significantly higher than the Redfield ratio (NO_x/PO_4 ratio = 16). This shows phosphate limitation in the water column in the SCS plays an important role for controlling biomass and production of phytoplankton. Significant but very weak correlation ($\tau = -0.04$, $p < 0.05$) between surface temperature, salinity and wind speed show wind did not play a direct role in the cooling and or freshening of the surface waters.

Although the relationship between TChl *a* and macronutrients was not significant, significant correlations between Si and Fuco ($\tau = 0.18$, $p < 0.05$), Si and Zea ($\tau = 0.23$, $p < 0.05$), and a negative correlation between Si and DVChl *a* ($\tau = -0.30$, $p < 0.05$) were observed at the surface. These results indicate that an increase in Si supplied by river outflows (stations close to the coasts at Kuching, Kota Kinabalu and northeast of Sabah) contributed to higher concentrations of diatoms and cyanobacteria (Fig. 4c), in which affecting the abundance of *Prochlorococcus* at the surface. However, no correlation was observed between TChl *a* and physical properties. NO_x was observed to positively correlated with Zea ($\tau = 0.20$, $p < 0.05$). In contrast, negative correlations were observed between NO_x , PO_4 and 19-But. Also, there is no significant correlation between Si and major phytoplankton pigments.

The statistical results reveal that the broader coverage of the surface stations which also contain three real phytoplankton bloom stations encounter stronger relationships to the physical and chemical drivers of phytoplankton composition and abundance as the profile stations which were not covering such a spread of biological, physical and chemical parameters. The relatively lower correlation coefficients obtained in this study (Kendall's Rank correlation) than reported in other studies in the SCS could be due to the difference in statistical analyses employed in these studies (e.g., Pearson correlation in Ning et al., 2004).

4 Conclusions

The present high horizontal and vertical resolved survey demonstrates that physiological conditions of natural phytoplankton communities in the southern SCS and SS were influenced mainly by light and nutrients concentrations, especially at the surface. Overall, the results show that photoacclimation at the shallow coastal stations was affected by high mixing rates and challenged by high surface irradiance. At the deeper offshore stations phytoplankton below the mixed layer were able to maintain higher photochemical efficiency as indicated by high F_v/F_m values. Nutrients limitation, especially NO_x and PO_4 , was the main limiting factor for these regions as shown by low TChl a concentrations (both at the surface and CTD stations) dominated by pico-eukaryotes and cyanobacteria in the open ocean areas. High TChl a concentrations observed at the surface in the shelf areas, especially at stations close to the coast were due to an enhancement in nutrient supplies, probably from river outflows. At these high TChl a regions, higher nutrient concentrations, in particular Si, are favouring bigger cells such as diatoms. Higher variability observed at the surface in the phytoplankton biomass (TChl a) and composition than in CTD profiles suggests that a high sampling frequency (every 3 to 4 h) during underway is necessary in order to capture a better picture of the changes in biomass in shelf and open ocean area.

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Table 1. Summary of CTD sampling stations and important properties during the SO218 SHIVA-*Sonne* study. SST: sea surface temperature at ~ 6 m; SSS: sea surface salinity at ~ 6 m; in situ PAR: in situ PAR at ~ 6 m from optical cast ($\mu\text{mol photons m}^{-2} \text{s}^{-1}$); \bar{E}_o : daily surface PAR from MODIS-4 km ($\text{mol photons m}^{-2} \text{d}^{-1}$).

Station	CTD	Lat (° N)	Lon (° E)	Date (UTC)	Time (UTC)	SST (°C)	SSS	z_{ML} (m)	z_{eu} (m)	in situ PAR	\bar{E}_o	Region
1	1	2.41	110.57	18-Nov	4:55	29.5	32.7	30.0	n.a.	n.a.	47.0	SCS
1	2	2.41	110.57	18-Nov	6:28	29.5	32.6	22.0	n.a.	42.9	47.0	SCS
1	3	2.41	110.57	18-Nov	17:02	29.6	32.7	16.0	n.a.	0.0	28.0	SCS
2	5	3.46	111.83	19-Nov	17:00	29.2	32.0	13.0	57.0	n.a.	11.2	SCS
3	7	3.93	112.46	20-Nov	17:04	29.3	32.0	28.0	n.a.	0.0	50.6	SCS
4	10	4.64	113.08	21-Nov	4:14	29.4	32.4	22.0	70.4	709.7	39.5	SCS
5	13	6.01	114.78	21-Nov	20:19	29.2	32.1	23.0	n.a.	177.1	35.3	SCS
5	14	6.01	114.78	22-Nov	7:04	29.3	32.1	19.0	78.8	210.0	9.3	SCS
6	18	7.33	116.06	24-Nov	0:07	28.8	32.5	39.0	64.5	128.1	11.4	SCS
7	19	7.90	118.05	24-Nov	14:29	29.1	32.7	21.0	65.6	0.0	33.7	SS
9	22	7.06	118.99	25-Nov	2:13	29.0	32.7	35.0	70.0	85.4	22.3	SS
10	24	6.56	118.76	25-Nov	16:52	29.0	32.6	25.0	59.0	0.0	48.8	SS
11	27	6.50	120.03	26-Nov	2:20	29.3	32.7	19.0	69.2	686.4	48.5	SS
12	29	7.55	120.12	26-Nov	13:13	29.4	32.9	34.0	76.3	0.0	47.6	SS
13	32	8.47	120.20	26-Nov	22:37	29.3	33.3	41.0	82.9	136.7	46.8	SS
14	33	9.39	120.30	27-Nov	8:02	29.1	33.1	40.0	78.6	17.2	39.6	SS

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**Table 2.** Photosynthetic parameters and definitions used in this study.

Parameter	Definition	Units
F_o, F_m	Minimum and maximum fluorescence in dark-adapted state	Dimensionless
F'_o, F', F'_m	Minimum, steady state and maximum fluorescence under ambient light	Dimensionless
F_v/F_m	Maximum photochemical efficiency, $(F_m - F_o)/F_m$	Dimensionless
F'_v/F'_m	Maximum photochemical efficiency under ambient light, $(F'_m - F'_o)/F'_m$	Dimensionless
F'_q/F'_m	Photochemical efficiency under ambient light, $(F'_m - F')/F'_m$	Dimensionless
q_P	Photochemical quenching or PSII efficiency factor under ambient light	Dimensionless
$\sigma_{PSII}, \sigma'_{PSII}$	Functional absorption cross section of PSII in dark-adapted state and under ambient light	$\text{\AA}^2 \text{ quanta}^{-1}$
a_{ph}^*	Chl <i>a</i> -specific phytoplankton absorption coefficient of phytoplankton	$\text{m}^2 (\text{mg chl } a)^{-1}$

Table 3. Kendall's rank correlations between major environmental and biological properties for the entire surface data set and from CTD water column data. Significant correlations at 95% significance level are indicate in bold.

Properties/Correlation	Surface			Water column		
	τ	ρ	n	τ	ρ	n
Temperature vs. Wind speed	0.20	0.00	2083	n.a.	n.a.	n.a.
Temperature vs. Nitrate + nitrite	0.22	0.04	108	-0.82	0.00	84
Temperature vs. Phosphate	0.17	0.12	108	-0.83	0.00	84
Temperature vs. Silicate	0.12	0.25	108	-0.78	0.00	84
Temperature vs. TChl <i>a</i>	-0.05	0.66	85	-0.02	0.75	90
Salinity vs. Wind speed	-0.01	0.56	2083	n.a.	n.a.	n.a.
Salinity vs. Nitrate + nitrite	-0.27	0.01	108	0.73	0.00	84
Salinity vs. Phosphate	0.05	0.66	108	0.72	0.00	84
Salinity vs. Silicate	-0.68	0.00	108	0.67	0.00	84
Salinity vs. TChl <i>a</i>	-0.35	0.00	85	0.01	0.86	90
Nitrate + nitrite vs. Wind speed	0.02	0.83	108	n.a.	n.a.	n.a.
Nitrate + nitrite vs. TChl <i>a</i>	0.02	0.82	68	-0.10	0.31	68
Nitrate + nitrite vs. DVChl <i>a</i>	-0.17	0.08	68	0.09	0.34	68
Nitrate + nitrite vs. Fuco	0.01	0.94	68	-0.17	0.08	68
Nitrate + nitrite vs. Zea	-0.02	0.80	68	0.20	0.00	68
Nitrate + nitrite vs. 19-But	-0.06	0.51	68	-0.20	0.04	68
Phosphate vs. Wind speed	-0.08	0.48	108	n.a.	n.a.	n.a.
Phosphate vs. TChl <i>a</i>	-0.02	0.85	68	-0.09	0.35	68
Phosphate vs. DVChl <i>a</i>	-0.08	0.41	68	0.08	0.41	68
Phosphate vs. Fuco	0.04	0.70	68	-0.16	0.12	68
Phosphate vs. Zea	-0.02	0.80	68	0.20	0.00	68
Phosphate vs. 19-But	-0.07	0.42	68	-0.21	0.04	68
Silicate vs. Wind speed	-0.15	0.16	108	n.a.	n.a.	n.a.
Silicate vs. TChl <i>a</i>	0.12	0.16	68	-0.08	0.41	68
Silicate vs. DVChl <i>a</i>	-0.30	0.00	68	0.11	0.27	68
Silicate vs. Fuco	0.18	0.04	68	-0.14	0.17	68
Silicate vs. Zea	0.23	0.01	68	0.07	0.47	68
Silicate vs. 19-But	-0.07	0.45	68	-0.15	0.13	68

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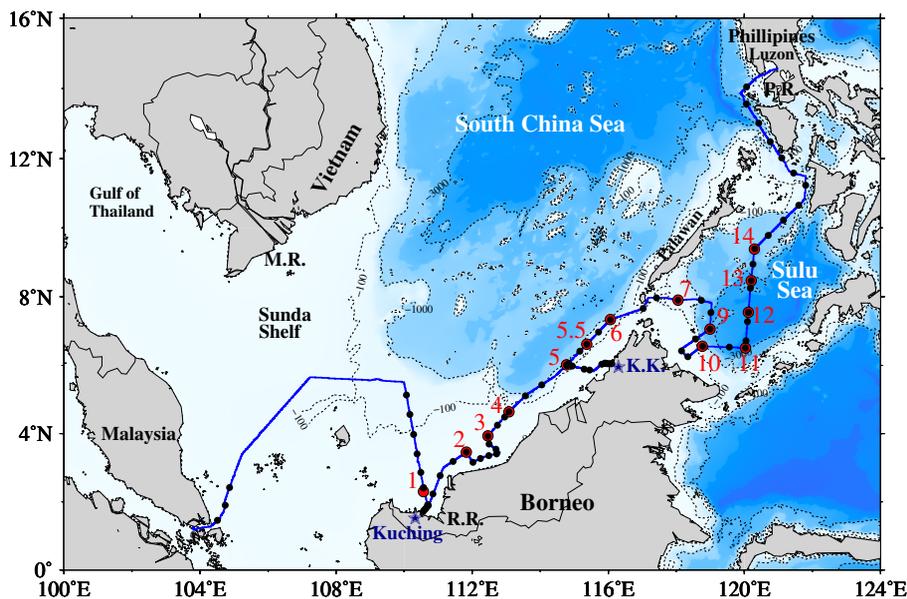


Fig. 1. Surface stations (black circles), and CTD stations (red circles) overlaid on cruise track (blue line). Dashed lines indicate bottom topography at 100 m, 1000 m, and 3000 m, respectively. The star symbols indicate the location of two cities (Kuching and Kota Kinabalu) in which surface samples were collected from local boat. K.K. – Kota Kinabalu; M.K. – Mekong River; R.R. – Rajang River; P.R. – Pasig River.

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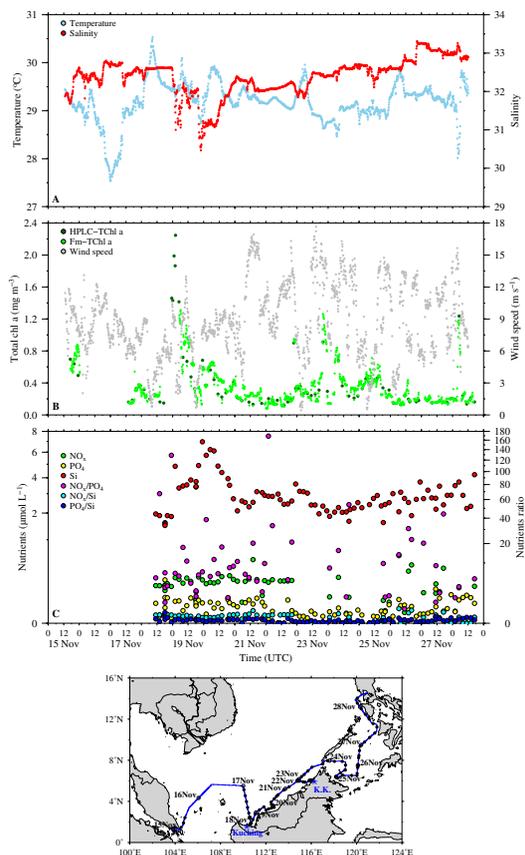


Fig. 2. Major surface (≤ 10 m) hydrographic properties plotted as a function of sampling date. **(A)** 10 min averaged sea surface temperature and salinity, **(B)** HPLC-derived TChl *a*, 10 min averaged F_m -derived TChl *a* and wind speed, **(C)** macronutrients and ratios. Map showing surface stations (closed circles), and UTC date at 12:00 h (open circles).

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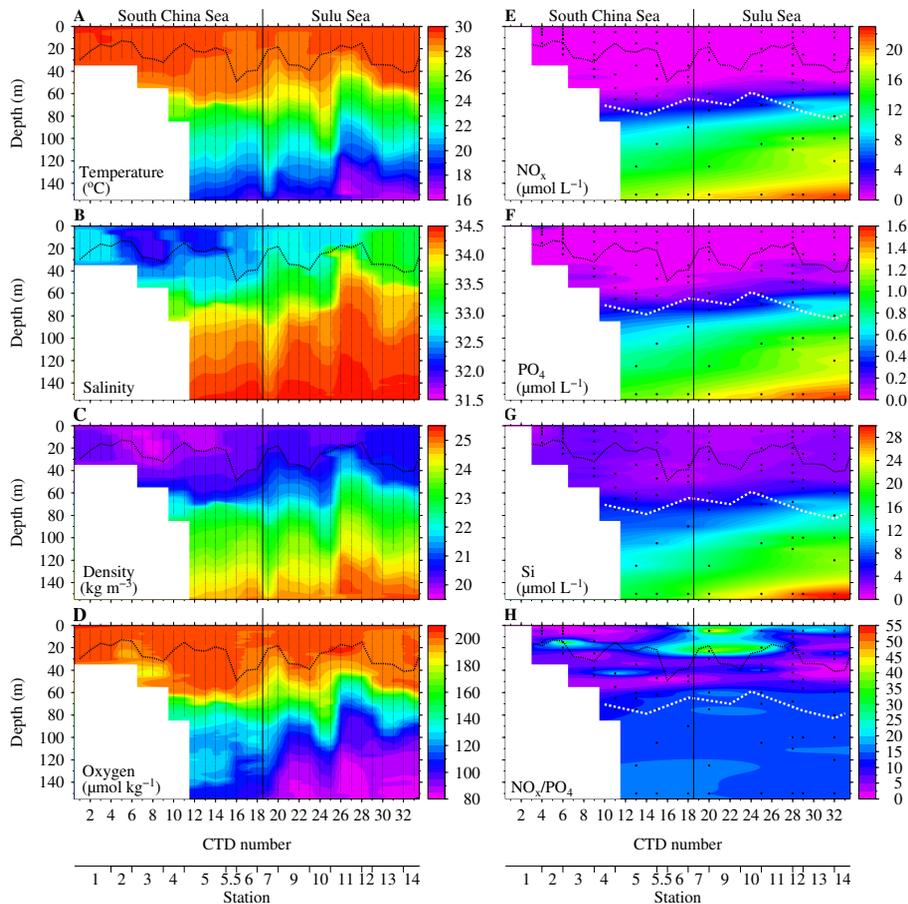


Fig. 3. Vertical profiles of (A) temperature, (B) salinity, (C) potential density, (D) dissolved oxygen, (E) NO_x , (F) PO_4 , (G) Si, and (H) NO_x/PO_4 ratios observed during the cruise. Black line in each plot indicates Z_{ML} and white line in plots (E) to (H) represents Z_{eu} .

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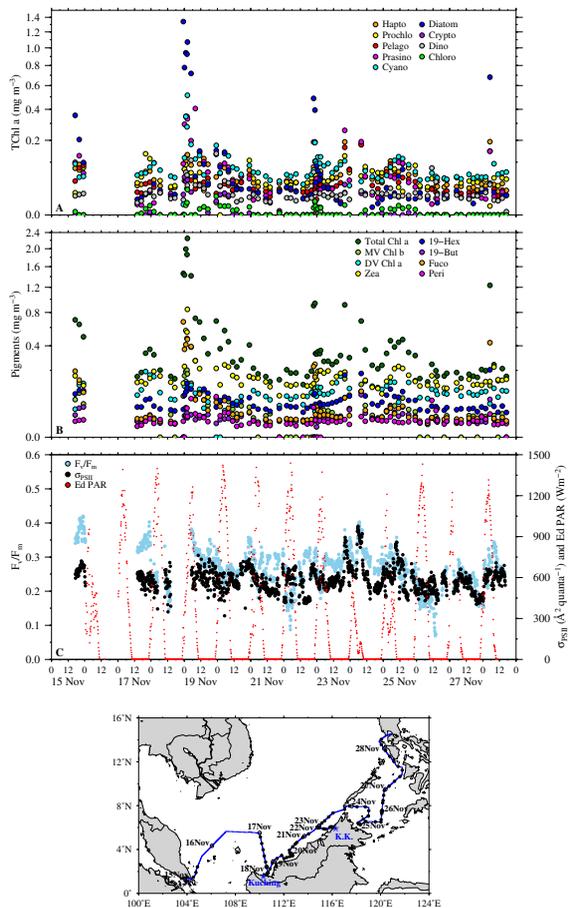


Fig. 4. (A) Total Chl *a* concentrations of major phytoplankton groups, (B) major pigment concentrations and distributions, and (C) F_v/F_m , σ_{PSII} , and E_dPAR observed at the surface (≤ 10 m) plotted as a function of sampling date.

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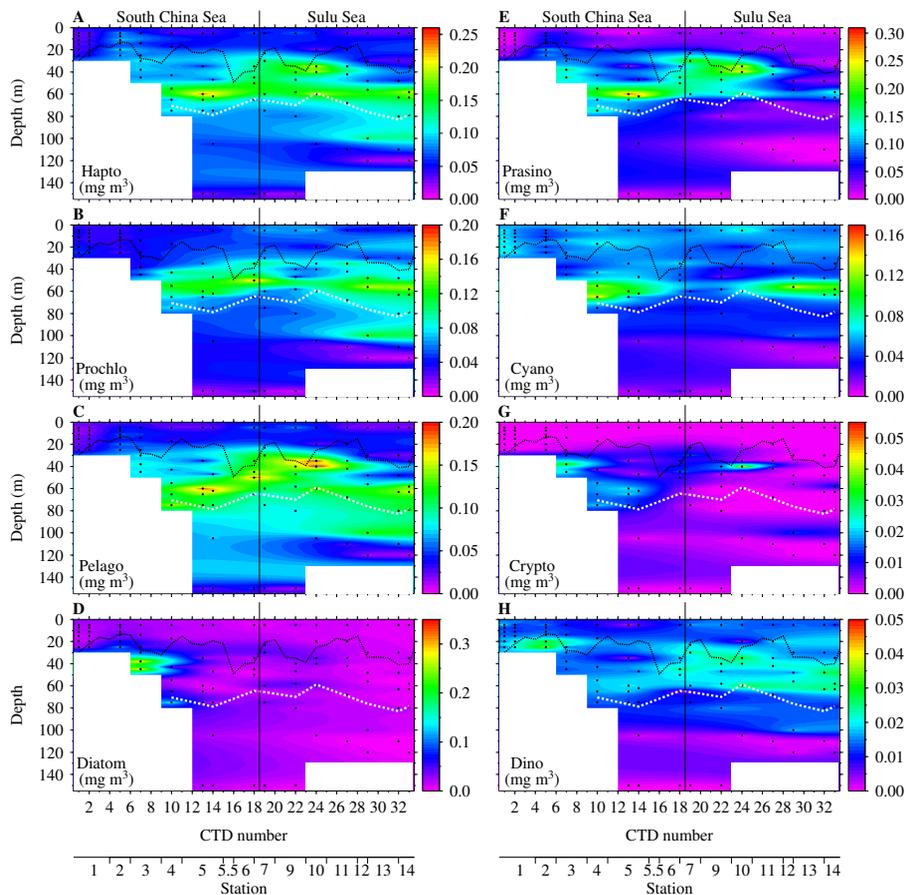


Fig. 5. Vertical distributions of eight major phytoplankton groups observed during the cruise.

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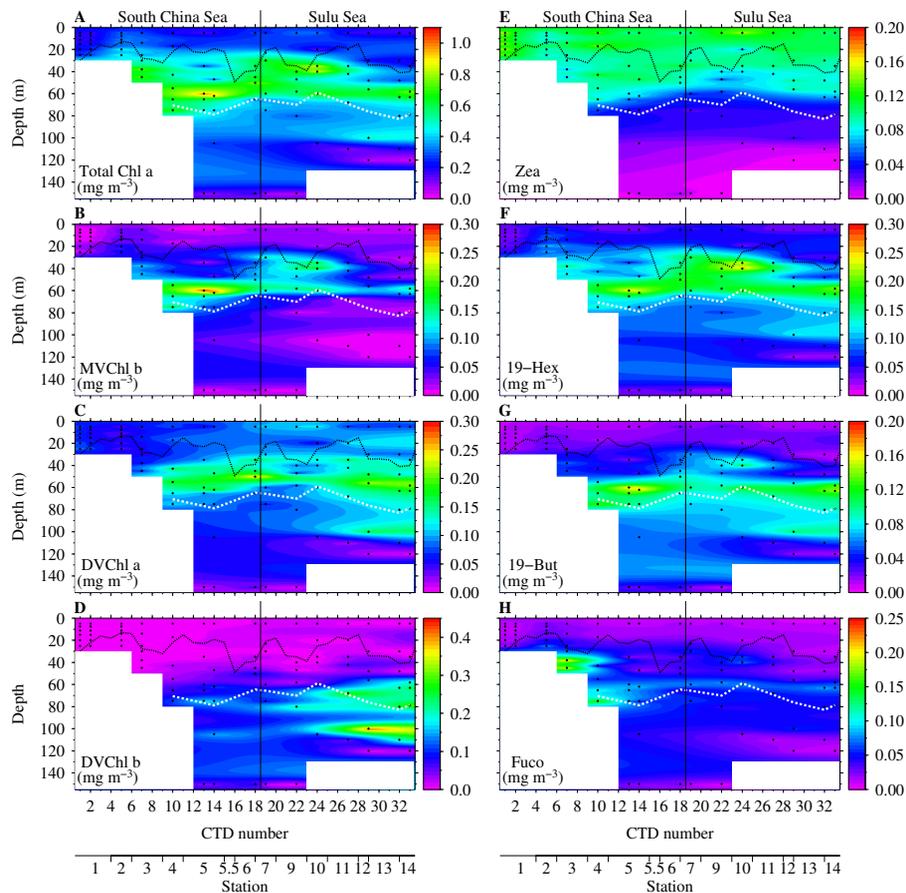


Fig. 6. Vertical distributions of TChl *a* and major accessory photosynthetic pigments observed during the cruise. Black line indicates z_{ML} and white line represents z_{eu} .

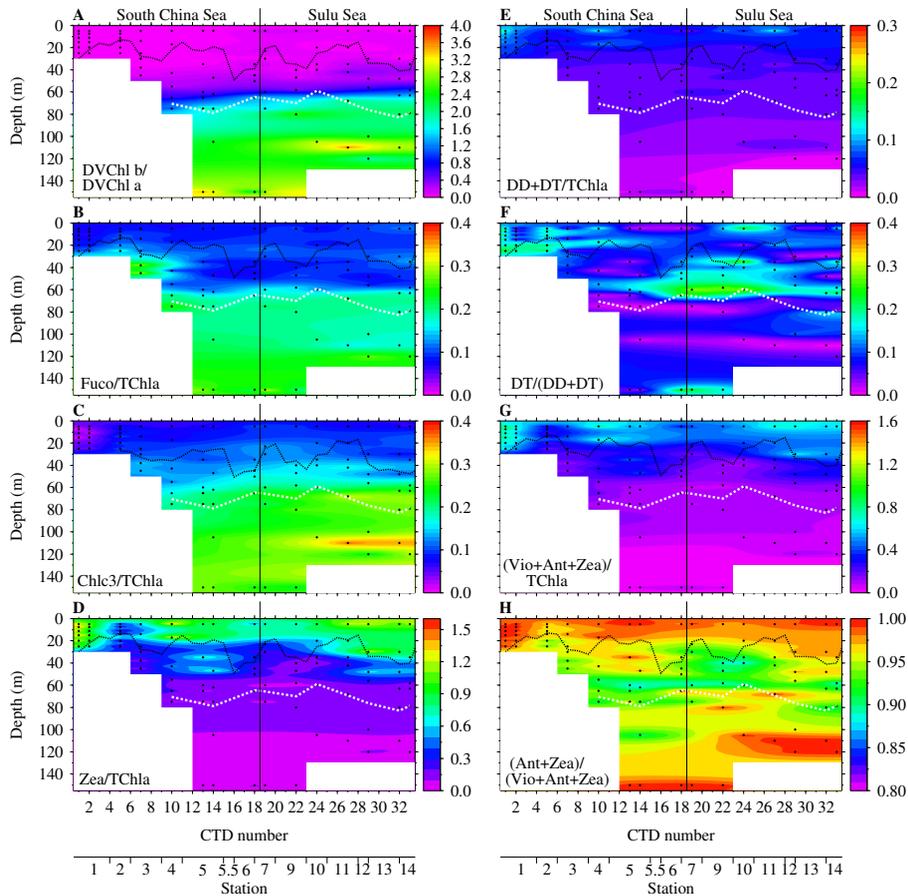


Fig. 7. Vertical distributions of accessory photosynthetic pigment ratios (**A–D**) and photoprotective pigment ratios (**E–H**) observed during the cruise. Black line indicates z_{ML} and white line represents z_{eu} .

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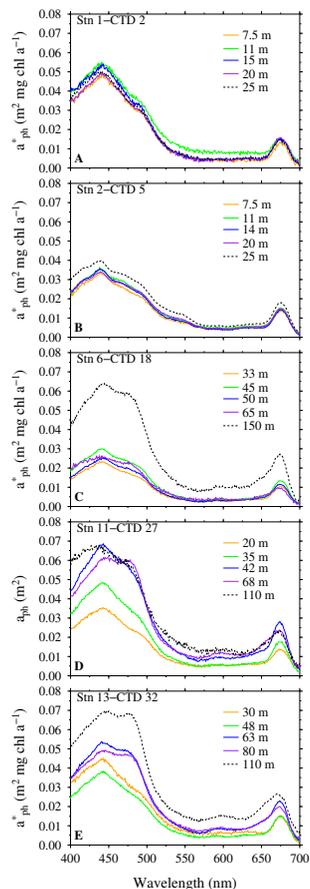


Fig. 8. Profiles of Chl *a* specific absorption coefficients, a_{ph}^* ($m^{-2} \text{ mg chl } a^{-1}$) at shallow coastal stations in the SCS (**A**, **B**) and deeper offshore stations (**C–E**) in the SCS and SS.

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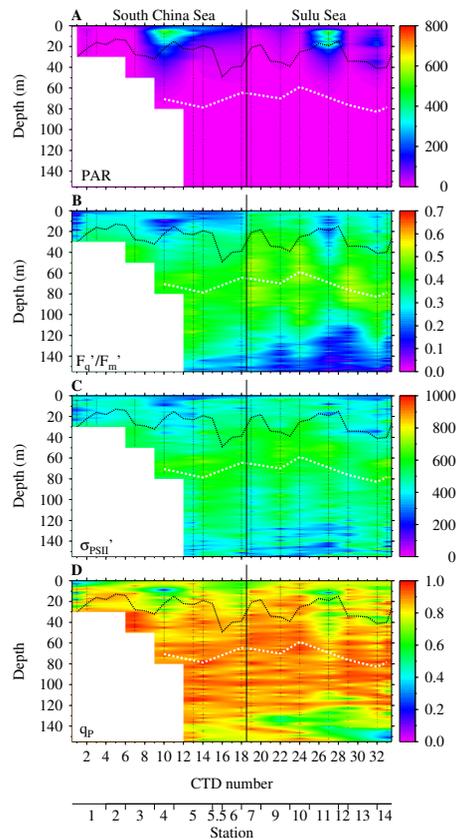


Fig. 9. Vertical profiles of **(A)** in situ PAR ($\mu\text{mol photons m}^{-2} \text{s}^{-1}$), **(B)** F'_q/F'_m , **(C)** σ'_{PSII} , and **(D)** q_p . Black line indicates z_{ML} and white line represents z_{eu} .

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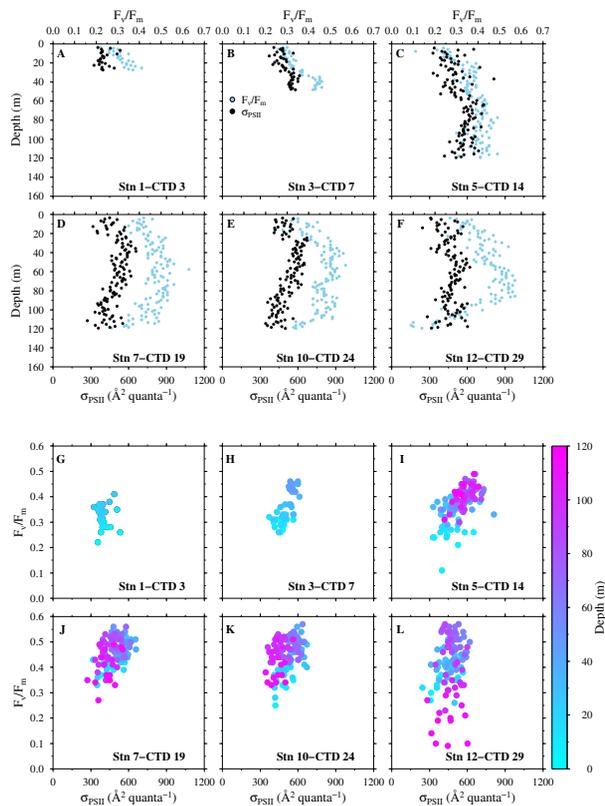


Fig. 10. Night-time measurements of F_V/F_m and σ_{PSII} . **(A–F)** vertical distributions, and **(G–L)** F_V/F_m vs. σ_{PSII} .

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